

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
13 July 2000 (13.07.2000)

PCT

(10) International Publication Number  
**WO 00/40331 A1**

(51) International Patent Classification<sup>7</sup>: **B01J 19/00**,  
C07B 61/00, B01J 31/16

(21) International Application Number: PCT/US00/00418

(22) International Filing Date: 6 January 2000 (06.01.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/227,558 8 January 1999 (08.01.1999) US

(71) Applicant (for all designated States except US): **SYMYX TECHNOLOGIES, INC.** [US/US]; 3100 Central Expressway, Santa Clara, CA 95051 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **TURNER, Howard**, W. [US/US]; 2948 Massih Court, Campbell, CA 95008

(US). **VANERDEN, Lynn** [US/US]; 1261 Bordeaux Street, Livermore, CA 94550 (US). **DALES, Cameron, G.** [US/US]; 859 Colorado Avenue, Palo Alto, CA 94303 (US). **SAFIR, Adam** [US/US]; Apartment 7, 323 Alcatraz Avenue, Oakland, CA 94618 (US). **NIELSEN, Ralph, B.** [US/US]; 5760 Harding Street, San Jose, CA 95129 (US).

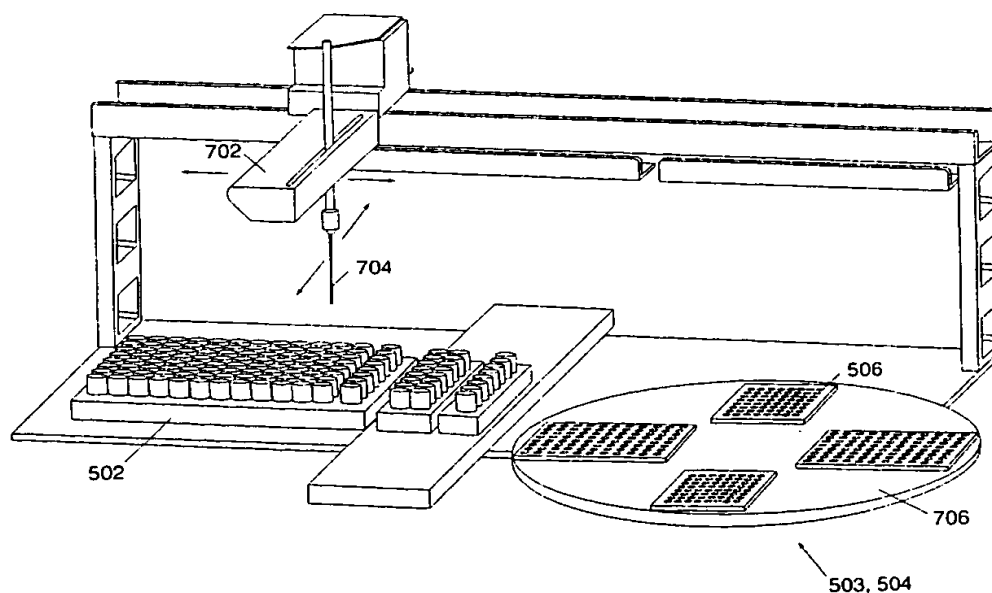
(74) Agent: **KRASNOW, Ronald, A.**; Symyx Technologies, Inc., 3100 Central Expressway, Santa Clara, CA 95051 (US).

(81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent

[Continued on next page]

(54) Title: APPARATUS AND METHOD FOR COMBINATORIAL RESEARCH FOR CATALYSTS AND POLYMERS



(57) Abstract: A method and system for researching and developing and/or optimizing new catalysts and polymers in a combinatorial manner is disclosed. The method begins with starting components or a ligand library and provides methods of creating catalyst or product libraries, which are then tested in a reaction of interest. The system uses methods of robotic handling for moving libraries from station to station. The method and apparatus are especially useful for synthesizing, screening, and characterizing combinatorial catalyst libraries.

WO 00/40331 A1



(AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— with international search report

**(48) Date of publication of this corrected version:**

13 September 2001

**(15) Information about Correction:**

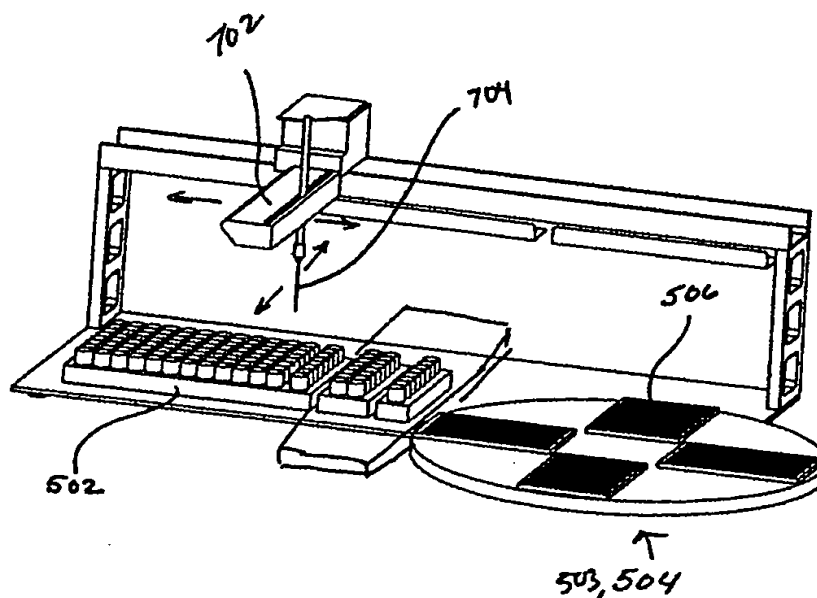
see PCT Gazette No. 37/2001 of 13 September 2001, Section II

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : <b>B01J 19/00, C07B 61/00, B01J 31/16</b>		<b>A1</b>	(11) International Publication Number: <b>WO 00/40331</b>
			(43) International Publication Date: 13 July 2000 (13.07.00)
(21) International Application Number: PCT/US00/00418		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 6 January 2000 (06.01.00)		<b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(30) Priority Data: 09/227,558                      8 January 1999 (08.01.99)                      US			
(71) Applicant (for all designated States except US): SYMYX TECHNOLOGIES, INC. [US/US]; 3100 Central Expressway, Santa Clara, CA 95051 (US).			
(72) Inventors; and (75) Inventors/Applicants (for US only): TURNER, Howard, W. [US/US]; 2948 Massih Court, Campbell, CA 95008 (US). VANERDEN, Lynn [US/US]; 1261 Bordeaux Street, Livermore, CA 94550 (US). DALES, Cameron, G. [US/US]; 859 Colorado Avenue, Palo Alto, CA 94303 (US). SAFIR, Adam [US/US]; Apartment 7, 323 Alcatraz Avenue, Oakland, CA 94618 (US). NIELSEN, Ralph, B. [US/US]; 5760 Harding Street, San Jose, CA 95129 (US).			
(74) Agent: KRASNOW, Ronald, A.; Symyx Technologies, Inc., 3100 Central Expressway, Santa Clara, CA 95051 (US).			

(54) Title: APPARATUS AND METHOD FOR COMBINATORIAL RESEARCH FOR CATALYSTS AND POLYMERS



## (57) Abstract

A method and system for researching and developing and/or optimizing new catalysts and polymers in a combinatorial manner is disclosed. The method begins with starting components or a ligand library and provides methods of creating catalyst or product libraries, which are then tested in a reaction of interest. The system uses methods of robotic handling for moving libraries from station to station. The method and apparatus are especially useful for synthesizing, screening, and characterizing combinatorial catalyst libraries.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## APPARATUS AND METHOD FOR COMBINATORIAL RESEARCH FOR CATALYSTS AND POLYMERS

5

This application claims the benefit of U.S. Provisional Application No. 60/080,652, filed April 3, 1998, which is incorporated herein by reference.

BACKGROUND10 Technical Field

The present invention relates to the field of research for new catalysts or polymers or processes for making polymers. More particularly, this invention is directed toward an apparatus and method of performing homogeneous and supported homogeneous catalysis and related techniques for rapidly creating and testing catalyst  
15 libraries prepared by combinatorial techniques. This invention is also directed toward an apparatus and method for making polymers using combinatorial techniques.

Discussion

Combinatorial chemistry has revolutionized the process of drug discovery.  
20 See, for example, 29 Acc. Chem. Res. 1-170 (1996); 97 Chem. Rev. 349-509 (1997); S. Borman, Chem. Eng. News 43-62 (Feb. 24, 1997); A. M. Thayer, Chem. Eng. News 57-64 (Feb. 12, 1996); N. Terret, 1 Drug Discovery Today 402 (1996)). Because of its success in eliminating the synthesis bottleneck in drug discovery, many researchers have come to narrowly view combinatorial methods as tools for creating  
25 structural diversity. Few researchers have emphasized that, during synthesis, variations in temperature, pressure, ionic strength, and other process conditions can strongly influence the resulting properties of library members. For example, reaction conditions are particularly important in formulation chemistry and polymer chemistry, where one combines a set of components under different reaction conditions or  
30 concentrations to determine their influence on product properties.

Recently, combinatorial approaches have been used for discovery programs unrelated to drugs. Combinatorial materials science generally refers to the methods for creating a collection of chemically diverse compounds or materials and to methods

for rapidly testing or screening this library of compounds or materials for desirable performance characteristics and properties. For example, some researchers have recognized that combinatorial strategies offer promise for the discovery of inorganic compounds such as high-temperature superconductors, magnetoresistive materials, luminescent materials, and catalytic materials. See, for example, co-pending U.S. patent application no. 08/327,513 "The Combinatorial Synthesis of Novel Materials" (published as WO 96/11878) and U.S. Patent 5,776,359, which are both herein incorporated by reference. Compared to traditional discovery methods, combinatorial methods sharply reduce the costs associated with preparing and screening each candidate material.

Some combinatorial research into catalysis and polymer formation has begun. See U.S. patent application no. 08/898,715 "Combinatorial Synthesis and Analysis of Organometallic Compounds and Catalysts" (published as WO 98/03251). The following articles discuss one or more combinatorial techniques in conjunction with catalysis, and each are incorporated herein by reference: Senkan, *Nature*, vol 394, pp. 350-353 (July 23, 1998); Burgess et al., *Angew. Chem. Int. Ed. Eng.*, 1996, 35, No. 2, pp. 220-222; Maier et al., *Angew. Chem. Int. Ed. Eng.*, 1998, 37, No. 19, pp. 2644-2647; Reetz et al., *Angew. Chem. Int. Ed. Eng.*, 1998, 37, No. 19, pp. 2647-2650; Schlögl, *Angew. Chem. Int. Ed. Eng.*, 1998, 37, No. 17, pp. 2333-2336; Morken et al., *Science*, vol. 280, pp. 267-270 (April 10, 1998); and Gilbertson et al., *Tetrahedron Letters*, vol. 37, no. 36, pp. 6475-6478 (1996).

What is needed is a combinatorial method and apparatus for the research, discovery and development of catalysts and polymers. This invention advances the field by providing an entire system, beginning with a ligand library or a set of reactants and ending with screens for performance, with a variety of reaction and screening options.

#### SUMMARY OF THE INVENTION

This invention provides methods and apparatus for performing the combinatorial synthesis of libraries and screening of those combinatorial libraries. This invention gives those of skill in the art a variety of synthesis and screening techniques so that a complete combinatorial discovery or optimization research and

development program can be successfully implemented for many different reactions, including all types of polymerizations or small molecule catalysis. The broadest concept of the methodology is that a library is created that is screened for a property or compound of interest. The libraries that are created depend on the reaction of interest, but are typically either catalyst libraries or product libraries. This invention provides a number of embodiments for performing such synthesis and screening and the embodiments may be combined together.

One embodiment of the present invention is a method and apparatus for researching for novel catalysts by starting with a ligand library that includes a plurality of member ligands. In the ligand library (also referred to as a parent ligand library) each ligand member may have a common scaffold, but will vary in structural diversity. The ligand library may also include ligand members that have different scaffolds. The important point is that the ligand library includes ligand members that are different from each other by either scaffold or structural diversity or both.

Optionally, one or more daughter libraries are created from the parent ligand library by taking one or more aliquots from one or more member ligands in said ligand library. For example, each daughter library may be considered to be a replica of the ligand library, but each daughter ligand member would be smaller than the parent ligand member in terms of either volume or moles or mass. At least one metal precursor is added to at least a portion of the members of the ligand libraries or daughter libraries to create one or more catalyst libraries. The catalyst library is subjected to a reaction of interest. The reaction of interest may be a reaction that creates a product library. For example, if the reaction of interest is a polymerization reaction, a polymer library will be the result. Alternatively, the reaction of interest may be a screen for activity. The reaction of interest can have process conditions that are combinatorialized, such as varying amounts of reactants or different conditions (such as time, temperature, pressure, atmosphere, etc.). The method optionally provides different screening stages, such as a primary screen to eliminate some members from a library from going on to a secondary screen.

In another embodiment, mixtures of starting components (such as ligands, metal precursors, initiators, monomers, solvents, etc.) are combined in different ratios. A reaction of interest is performed under varying conditions to create a product array. This embodiment focuses on combinatorializing the conditions of the reaction of

interest. Process conditions that may be combinatorialized include amounts (volume, moles or mass) and ratios of starting components, time for reaction, reaction temperature, reaction pressure, rate of starting component addition to the reaction, residence time (or product removal rate), reaction atmosphere, reaction stir rate and  
5 other conditions that those of skill in the art will recognize. The library that is created in this embodiment is a product library that is then screened for a property or compound of interest. Optionally, prior to screening, the product library is daughtered into one or more daughter product libraries.

In addition, the two above embodiments can be combined together. For  
10 example, this invention may be practiced in order to discover a polymer of interest by free radical polymerization (e.g., a polymer having predetermined properties, such as molecular weight or particle size). The library of polymers (e.g., a product library) may be created by having diversity in the starting components used or by having diversity the reactions conditions (e.g., time, temperature, mixing speed, etc.). The  
15 polymer library is then tested to determine if a polymer of interest has been created using one of many different rapid polymer characterization techniques. Thus, in this example, the screen may be the reaction, the polymer characterization or both.

The embodiments of this methodology are combined into a flexible system that includes a number of different stations including one or more stations for  
20 combining starting materials, daughtering the libraries, performing the reactions of interest and screening the results of the process. The system includes a control system that controls, monitors and directs the activities of the system so that a user may design an entire series of experiments by inputting library design, screening or data manipulation criteria.

25 Those of skill in the art will appreciate the variety of methods for creating diversity in the libraries of this invention. The screens that are provided to determine if the diversity has produced a product of interest complete the research and development methodology.

A further understanding of the nature and advantages of the present invention  
30 may be realized by reference to the remaining portions of the specification and the drawings.



## BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A and 1B show a generic ligand having a scaffold and structural diversity and a ligand library, respectively.

5        Figure 2 is a flowchart depicting an overall method for one embodiment of the invention.

Figure 3 is a cut-away perspective illustration of a glass lined parallel batch reactor useful in this invention.

10       Figure 4 is a block diagram illustration of rapid molecular weight light scattering characterization equipment that was used in the Example.

Figure 5 is block diagram illustration of an overall method and apparatus of this invention.

Figure 6 includes Figures 6A-6C and shows details of a library storage rack and plate system useful in this invention.

15       Figure 7 is a partial view of one embodiment of the apparatus of this invention.

## DETAILED DESCRIPTION

20       There are two principal features to this invention: (1) creating a library having diversity and (2) screening that library for a property or compound of interest. A library in this invention has either chemical diversity or process diversity. Chemical diversity refers to a library having members that vary in atoms or molecules. Process diversity refers to a library having members that may have begun with the same atoms  
25       or molecules, but with members that have been subjected to different processing conditions and are different as a result of those different processing conditions. Different processing conditions include varying amounts (volume, moles or mass) and ratios of starting components, time for reaction, reaction temperature, reaction pressure, rate of starting component addition to the reaction, residence time (or  
30       product removal rate), reaction atmosphere, mixing or stir rate and other conditions that those of skill in the art will recognize. It is through the creation of libraries having diversity and the screening of that diversity for a property or compound of interest that a complete combinatorial research and development program may be

undertaken for homogeneous catalysis or supported homogeneous catalysis or initiated polymerization reactions.

In one embodiment, this invention is directed to rapid creation and testing of novel catalysts, but offers significant advantages over conventional experimental methods and systems. For example, the present invention allows for automated parallel catalyst creation and screening of multiple synthetic routes to targeted catalysts, thereby saving time and conserving valuable reactants in determining appropriate catalysts for catalyzing a pre-selected reaction. This invention also provides a variety of screening options, allowing for flexibility in choosing the appropriate reaction flow and conditions for a reaction of interest.

For example, if a coordination polymerization reaction is the reaction of interest, this invention provides the method and apparatus for the synthesis of organometallic complex libraries by a variety of routes that may be catalysts. Optional activation of those organometallic complexes into catalysts is included. After the catalyst libraries are prepared the invention provides for screening of the catalyst libraries. Screening may be in, for example, a parallel polymerization reactor that provides detailed information about catalytic activity under a variety of reaction options and conditions, including monomer and comonomer choice, solvent, pressure, temperature, stirring rate, volume, stoichiometric relationships and order of addition of chemicals. Thus, one may chose to "combinatorialize" the polymerization reaction conditions for a single catalyst library. Optional steps in this example coordination polymerization combinatorial process include a primary screen prior to screening in the parallel polymerization reactor. A primary screen may, for example, comprise an optical screen under polymerization conditions that simply determines which members of the catalyst library have any activity. Another optional step is to further characterize the resultant polymers formed in the parallel polymerization reactor. Such further screening may employ a rapid liquid chromatography and/or light scattering system, such as those described in U.S. Provisional Application No. 60/080,652, filed April 3, 1998, which is incorporated herein by reference. Such a further screen may also determine the physical or melt flow properties of the resultant polymers, such as with a sensor-array based system such as is disclosed in U.S. Patent Application No. 09/210,485, filed December 11, 1998, which is incorporated herein by reference.

Thus, the flexibility of this invention can be seen by those of skill in the art from the variety of options that a complete system offers, including choosing starting components (e.g., ligands and metal precursors), choosing reaction or coordination routes for the creation of catalyst libraries, choosing screening reactors and reaction conditions and choosing characterization methods and apparatus.

In other embodiments, this invention discloses methods for rapidly forming polymer product libraries from at least an initiator and a monomer. A variety of monomers and initiators can be chosen, along with other polymerization additives, such as solvents, co-initiators, modifiers, surfactants, etc. Those of skill in the art know of such additives. A parallel reactor is used for the reaction and the parallel reactor may have internal sensing capabilities providing real time property characterization for certain properties, such as viscosity. The parallel reactor may also provide the ability to vary reaction conditions from one reactor vessel to another so that the polymerization conditions may be combinatorialized. The polymer libraries may then be further characterized using rapid polymer characterization techniques. Thus again, the flexibility of this invention can be seen by those of skill in the art from the variety of options that a complete system offers, including choosing initiators, choosing monomers, choosing methods and conditions of reaction for the creation of polymer libraries, and choosing characterization methods and apparatus. In this manner a complete combinatorial polymer discovery or optimization research and development program may be undertaken.

As discussed herein there are three fundamental types of libraries: a ligand library, a catalyst library and a product library. The three types of libraries may or may not be used in the same embodiment of the invention.

A ligand library is a library comprised of member ligands. Typically, a ligand library has chemical diversity. As used herein, chemical diversity within the ligand library is divided up between a variety of scaffolds and structural diversity elements. Referring to Figure 1A, member ligands 100 of the ligand library 10 include a scaffold 120, which those of skill in the art may also refer to as a backbone. There is at least one scaffold in a parent ligand library. However, there may be 2, 3, 4, 5 or more different scaffolds in a ligand library. The number of scaffolds will depend on how the library was formed or how the library was stored. If, for example, ligands from different ligand synthesis procedures were stored together as a single library, the

ligand library will be the result of this combination and any number of desired ligand scaffolds may be included in the ligand library.

Also, there is a plurality of different ligands in a ligand library. Thus, if there is one scaffold there are typically at least four or five different structural diversity  
5 elements off of the scaffold. Referring to Figure 1A, the structural diversity elements 140 are shown as R groups. The member ligands in the ligand library are typically stored or provided in a spatially addressable format, meaning that each ligand is separated from the others. However, pooled ligand libraries may also be used if catalytic activity can be separated to determine which catalyst caused certain observed  
10 activity, such as with a tagging or coding technique. See, e.g., U.S. Application No. 09/033,207, filed March 2, 1998, which is incorporated herein by reference.

Thus, the ligand library 10, shown in Figure 1B, may include ligand members having one scaffold in different columns, B1, B2, etc. along the B direction and may have differing structural diversity in different rows A1, A2, etc. along the A direction.  
15 In one embodiment of the present invention, the ligand library 10 is made up of a plurality of member ligands 100 that have a common scaffold with each member ligand being structurally diverse, as represented by the different positioning of R-groups 140 on scaffold 120 in Figure 1A. In that embodiment, using the representation in Figure 1B, only one column, e.g., B1, would be present in the patent  
20 library. In another embodiment, the ligand library is made up of member ligands having different scaffolds, wherein the ligand members in a scaffold group are structurally diverse, meaning B1, B2, B3, etc. are present in the ligand library. Of course, the ligand library may also include standards, blanks, controls or other members that are present for other reasons. Also, the ligand library may have two or  
25 more members that are identical as a redundancy option or when reaction conditions are to be combinatorialized. The member ligands of the ligand library are preferably solids so that the ligand library may be easily stored, however, the ligand libraries may also be stored in solution. When a solid phase stored ligand library is going to be used to create catalyst libraries, the solid member ligands may be dissolved in a  
30 suitable solvent.

There are many possible example ligand libraries. Several ligand libraries have been described in detail in copending, commonly assigned U.S. patent applications, including U.S. Application No. 09/037,162, filed March 9, 1998; U.S.

Application No. 09/119,318, filed July 20, 1998; U.S. Application No. 09/062,128, filed April 17, 1998; U.S. Application No. 09/168,772, filed October 8, 1998; and U.S. Application No. 09/146,206, filed September 2, 1998. Each of these applications is incorporated herein by reference for all purposes.

5           The ligand library may be created by combinatorial chemistry methods similar to those that are described in co-pending U.S. patent application no. 08/327,513 "The Combinatorial Synthesis of Novel Materials" (published as WO 96/11878) and co-pending U.S. patent application no. 08/898,715 "Combinatorial Synthesis and Analysis of Organometallic Compounds and Catalysts" (published as WO 98/03251),  
10       which are both herein incorporated by reference. Others have disclosed methods for preparing enormous libraries of ligands. See for example U.S. Patents 5,143,854, 5,424,186 and 5,288,514 and WO 92/10092, each of which are incorporated herein by reference. The method of synthesis of a parent ligand library is not critical to this invention. Indeed in some embodiments, ligand libraries may be purchased. One or  
15       more ligand libraries may be stored and retrieved from a storage rack for transfer to either the daughtering station or a diluting station or a dissolution station, as discussed below. Such retrieval and transfer to another station may be automated using known automation techniques, such as those disclosed in WO 98/40159, incorporated herein by reference. Robotic apparatus is commercially available, for example from Cavo,  
20       Tecan, Robbins, Labman, Bohdan or Packard, which are companies that those of skill in the art will recognize.

          One option for the creation of the ligand library is shown in Figure 2. Ligand precursors 20 are formed into a parent ligand library 10. The parent ligand library 10 is initially tested at a preliminary testing station 30 to determine if the desired ligand  
25       members have been synthesized successfully. There may be bulk manufacturing 40 and bulk storage 50 of the parent ligand library, so that each member is made in greater quantities and optionally stored for future multiple testing of the same parent library ligand members in different reactions of interest or under different reactions conditions or for combining with different metal precursors or activators or modifiers.  
30       In embodiments using bulk manufacture and storage, the ligand members in the ligand library may be in solid form. The solid ligand members are typically dissolved or diluted in a suitable solvent in a dissolution or dilution step 60 to provide the parent ligand library 10 with member ligands 100 in a liquid form at point 70 in Figure 2.

Dilution or dissolution may be manual or automatic, such as with known liquid handling robots. Other processing conditions of dissolution or dilution may also be controlled, such as using a glove box for an inert atmosphere during dilution or dissolution. The temperature of the operation may also be controlled by providing a heating/cooling block, such as that disclosed in commonly assigned U.S. Application 09/\_\_\_\_, filed November 19, 1998 (having attorney docket no. 65304-014) and incorporated herein by reference. In other embodiments, the ligand library is provided in a liquid form, for example with each ligand stored in a separate vial. In those embodiments, the parent ligand members may be stored in a vial having a septum that can be penetrated by a needle that may be on a robotic arm of known liquid handling robots. Optionally, as shown in Figure 2 also, the bulk steps or storage can be eliminated so that the parent ligand library 10 goes directly from synthesis to point 70 in Figure 2.

The next type of library is a catalyst library, which is a collection of potentially catalytic compounds or compositions. The members are potentially catalytic depending on the reaction of interest, i.e., a member may be active in one reaction of interest, but inactive in a different reaction of interest. The catalyst library is formed from the combination of ligands and metals. The catalyst library may be formed from the combination of a ligand library and a metal precursor. In other embodiments, the catalyst library is formed from the combination of a metal precursor library and a ligand. For example, combining comprises adding at least one ligand member from the ligand library to at least one metal precursor. More typically, at least four ligand members, at least 10 ligand members, at least 25 ligand members, at least 50 ligand members or at least 96 ligand members are provided that are each combined with at least one metal precursor. Also for example, combining comprises adding at least one metal precursor member from a metal precursor library to at least one ligand.

There are a number of methods for combining metal precursors with the ligand members of the library. In some embodiments, the same metal precursor is added to the ligand members (whether the members have different scaffolds or not) or different metal precursors are added to different ligand library members. In other embodiments, a different metal precursor is combined with each member ligand having a different scaffold such that the number of different metal precursors is equal

to the number of different scaffolds. In still other embodiment, different metal precursors are added to ligand members having different structural diversity elements. Combining different metal precursors with different ligand library members provides the opportunity to try different routes for the formation of the same or similar metal-ligand complexes or compositions. In other embodiments, the ligand members will be mixed with a suitable metal precursor prior to or simultaneous with allowing the mixture to be contacted to the reactants in the next library or testing phase of the invention. When the ligand member is mixed with the metal precursor, a metal-ligand complex may be formed, which may be a catalyst.

Metal precursors may take the form of a metal atom, ion, compound or other metal precursor compound. In some embodiments, the member ligands may be combined with a metal precursor and the product of such combination is not determined, if a product forms at all. For example, the ligand member may be added to a reaction vessel at the same time as the metal or metal precursor compound along with additional reactants in the reaction of interest. As such, the result of the combination is not determined. The metal precursors may be characterized by the general formula  $M(L)_n$  where M is a metal selected from the group consisting of Groups 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13 and 14 of the Periodic Table of Elements. Specific metals include Sc, Y, La, Ti, Zr, Hf, C, Nb, Ta, Cr, Mo, W, Mn, Re, Fe, Ru, Os, Co, Rh, Ir, Ni, Pd, Pt, Cu, Ag, Au, Zn, Cd, Al, In, Tl and Sn. L is a metal-ligand chosen from the group consisting of halide, alkyl, substituted alkyl, cycloalkyl, substituted cycloalkyl, heterocycloalkyl, substituted heterocycloalkyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, alkoxy, aryloxy, hydroxy, boryl, silyl, hydrido, thio, seleno, phosphino, amino, and combinations thereof. When L is charged, L is selected from the group consisting of hydrogen, halogens, alkyl, substituted alkyl, cycloalkyl, substituted cycloalkyl, heteroalkyl, heterocycloalkyl, substituted heterocycloalkyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, alkoxy, aryloxy, acetoxy, silyl, boryl, phosphino, amino, thio, seleno, and combinations thereof. When L is neutral, L is selected from the group consisting of carbon monoxide, isocyanide, dibenzylideneacetone, nitrous oxide,  $PA_3$ ,  $NA_3$ ,  $OA_2$ ,  $SA_2$ ,  $SeA_2$ , and combinations thereof, wherein each A is independently selected from a group consisting of alkyl, substituted alkyl, heteroalkyl, cycloalkyl, substituted cycloalkyl, heterocycloalkyl, substituted heterocycloalkyl, aryl, substituted aryl,

heteroaryl, substituted heteroaryl, alkoxy, aryloxy, silyl, and amino. The ligand to metal precursor ratio is determined by the research program of interest, and for example may be in the range of about 0.01:1 to about 100:1, or more specifically in the range of about 0.5:1 to about 20:1.

5 Depending on the ligand library, it may be necessary to additionally combine a ligand modifier 208 with member ligands 202 of the ligand libraries, as shown in Figure 2. If there are different ligand modifiers 208, they may be in a ligand modifier library 210 and may be added to the ligand libraries 200 at the same time as the metal precursor(s) or prior to serve to produce modified ligand libraries before combining  
10 the ligand members with the metal precursors to achieve the desired target catalysts. The purpose of a ligand modifier is to allow or assist the ligand to coordinate to or bond with a metal atom, ion or precursor. The ligand modifier is generally a deprotonation agent that modifies the ligand at the position(s) where the ligand coordinates to or bonds with the metal atom, ion or precursor. Ligand modifiers  
15 include deprotonating agents such as alkyl lithium compounds (such as methyl lithium or butyl lithium) and lithium diisopropyl amine. Those of skill in the art know many ligand modifiers that are useful in this invention.

Another option in forming the catalyst libraries is to provide an activator. When there is more than one activator, the activators may be provided in an activator  
20 library 214. The activator or activating technique includes the use alumoxanes, strong Lewis acids, compatible noninterfering activators and combinations of the foregoing. The foregoing activators have been taught for use with metal complexes in the following references, which are hereby incorporated by reference in their entirety: U.S. Patents 5,599,761, 5,616,664, 5,453,410, 5,153,157, 5,064,802 and EP-A-  
25 277,004.

There are a number of methods for combining the ligand modifiers or activators with the ligand libraries and metal precursors. In some embodiments, the same ligand modifiers or activators are added to the members of the daughter library (whether the members have different scaffolds or not) with the metal precursor.  
30 Alternatively, different ligand modifiers or activators are added to different ligand libraries. In other embodiments, a different ligand modifier or activator is combined with each member ligand in the ligand libraries having a different scaffold such that the number of different ligand modifiers or activators is equal to the number of



different scaffolds for each member ligand. In still other embodiment, different ligand modifiers or activators are added to daughter library members having different structural diversity elements. Combining different ligand modifiers or activators with different ligand members provides the opportunity to try different routes to the formation of the same or similar catalyst libraries.

Thus, combining ligands, metal precursors, optionally ligand modifiers and optionally activators (or activating techniques) provides those of skill in the art powerful options for following different chemical routes for the formation of the same or a similar catalyst. The use of different chemical routes to the same or similar catalyst in combinatorial materials science may minimize the chances of missing an active catalyst for a reaction of interest. One specific example of the use of different chemical routes for formation of the same or similar polymerization catalysts is in the field of cationic metallocene catalysts stabilized by compatible anions (see e.g., U.S. Patent No. 5,599,761 or 5,817,849, both of which are incorporated herein by reference). One route to the formation of such catalysts is through the use of ion exchange activators. A second route is through the use of Lewis Acids. A third route is through the use of oxidative activators. The result of each route is an active catalyst having substantially the same structure, which produces substantially the same result under similar polymerization conditions.

A third type of library is a product library. A product library is the result of running a reaction of interest. Starting components are added to a reactor and a reaction of interest is run to form the product members of the product library. A product library obtains its diversity either by chemical diversity in the starting components or by process diversity or both and both types of diversity are discussed above. Thus, a product library may be the result of testing a catalyst library in a reaction of interest run under the same or different process conditions. A product library also may be the result of beginning with the same starting components and testing those components under different processing conditions. Specifically not within the scope of this invention is the formation of product libraries having members that are biological polymers, such as polymers made from alpha amino acids and nucleotides. Examples of polymers that may be members of a product library include homopolymers, copolymers or higher order polymers like polyethylenes, polyurethanes, polyesters, polycarbonates, polyacetates, polystyrenes, polyamides,

and the like.

Starting components for forming a product library are those components needed for performing the reaction of interest. Reactions of interest include those selected from the group consisting of carbonylation, hydroformylation, hydroxycarbonylation, hydrocarbonylation, hydroesterification, hydrogenation, transfer hydrogenation, hydrosilylation, hydroboration, hydroamination, epoxidation, aziridination, reductive amination, aryl amination, polymerization, oligomerization, C-H activation, insertion, C-H activation-insertion, C-H activation-substitution, C-halogen activation, C-halogen activation-insertion, C-halogen activation-substitution, cyclopropanation, alkene metathesis, and alkyne metathesis. Those of skill in the art know what starting components are needed for each of these types of reactions.

Starting components include catalysts, ligands, metal precursors, ligand modifiers and activators as discussed above. Starting components also include monomers, solvents, initiators, scavengers and surfactants/emulsifiers. Starting components that are monomers that have at least one addition-polymerizable unsaturated bond, including olefins, diolefins, allyl esters, vinyl ethers, vinyl esters, vinyl heterocyclic compounds, styrenes, halogenated olefins, crotonic acids, vinyl ketones, itaconic acids and esters, unsaturated nitriles, acrylic or methacrylic acids and esters, acrylamides and methacrylamides and combinations thereof (see, e.g., U. S. Patent No. 5,244,763, incorporated herein by reference). Example of solvents include polar and non-polar solvents and ionic solvents and may include alkanes, heterocyclic compounds, chlorinated compounds, water, and combinations thereof. Surfactants may be cationic, anionic, zwitterionic or non-ionic, including combinations thereof. Initiators may initiate a cationic, anionic or free radical reaction, and include inorganic salts, peroxy compounds and the like (see, e.g., U.S. Patent No. 5,594,047, which is incorporated herein by reference). For example, for coordination polymerization, the starting materials include monomers, solvent, catalyst libraries (either activated or not) and scavengers. Also for example, for a free radical polymerization, the starting components comprise at least an initiator and a monomer. Other starting components include co-initiator, co-monomers, solvents, surfactants, emulsifiers or other additives. In forming a product library, the starting materials may be varied with respect to each other in terms of volume, moles or mass. In varying the starting materials, the types of ratios that can be varied include monomer to initiator;

monomer A to monomer B; solvent to monomer; surfactant to initiator; catalyst to activator; and combinations thereof.

The product library has different members possibly as the result of combinatorializing the process variables in the reaction of interest. Process variables that may be combinatorialized include the amounts (volume, moles or mass) and ratios of starting components, time for reaction, reaction temperature, reaction pressure, rate and/or method of starting component addition to the reaction (or reactor), residence time (i.e., rate and/or method of product removal from the reaction or reactor), reaction stir rate and/or method, reaction kill rate and/or method, reaction atmosphere and other conditions that those of skill in the art will recognize. The product libraries are created using one of the parallel reactors discussed below, including the parallel solution reactor, the continuous feed reactor, the multi-temperature reactor block, the parallel batch reactor or another parallel reactor that may be known such as in U.S. Patent No. 4,099,923, which is incorporated herein by reference.

Therefore, those of skill in the art will appreciate the vast number of different possible combinations of ligands, metal precursors, modifiers, activators or other starting components that may be combined together to form the catalyst libraries. In addition, this combination methodology may be combined with combinatorializing of various reaction conditions, including different starting component ratios, different temperatures, solvents, pressures, mixing rates, times, order of addition of chemicals or atmospheres to form vastly different product libraries. For example a multiple temperature reactor block, such as discussed below, may be used to provide different temperature and pressure options. Also optionally, the combining of ligands 202, metal precursors 204, modifiers 208 or activators 212 may take place in the reactor being used for the reaction of interest. Combining may be done just before the reaction of interest or may be done well before the reaction of interest.

In the methodology of this invention, a library is screened for a property or compound of interest. Depending on the embodiment being practiced, the screen may look for the existence of a particular compound or for a particular property. For example, when free radical polymerization is the reaction of interest, the screen may look for molecular weight or particle size. Also for example, when aryl amination is the reaction of interest, the screen may look for the amine that the reaction is intended

to form. The screening may take place as the reaction of interest is being performed. As used herein, "screening" refers to testing a library for one or more properties or compounds or materials. Also as used herein, "reaction" refers to a chemical transformation (e.g., amination or polymerization). A screen may be combined with a reaction of interest, but the two may also be separate. For example, polymerization reactions performed in stirred tank parallel polymerization reactors are both a reaction and a screen because a polymerization reaction is performed and catalyst activity can be monitored by gas monomer uptake or by temperature increase or by an in-reactor sensor or by lag in a stirrer, providing testing of the properties of the catalyst or polymer. Also for example, a polymerization reaction performed in a batch reactor block would be considered to be only a reaction of interest if there is no monitoring as the reaction is proceeding. Also for example, nuclear magnetic resonance (NMR) or gas chromatography (GC) and mass spectrometry (MS) are only screens because they determine the results of the reaction of interest, which was performed in a separate reactor.

Each of the three types of libraries may be stored in a liquid or solid state and retrieved from storage for combining, daughtering, running in the reaction of interest or screening or combinations thereof. Libraries are preferably stored in a storage rack that holds the libraries separately from each other. Libraries may be retrieved from storage either manually or automatically, using known automated robots. Specific robots useful for retrieving such stored libraries include systems such as those marketed by Aurora Biosciences or other known robotic vendors. If the libraries are stored in the solid phase, the members typically require dissolution, which is performed at a dissolution station, which may be the combining station (discussed below) or may be in addition to the combining station. A dilution station is a location where the library members are dissolved in a suitable solvent for use in either the reaction of interest or in a screen.

Also, each of these types of libraries may be daughtered into one or more daughter ligand libraries, daughter catalyst libraries or daughter product libraries, respectively. A daughter library is created from the parent library at a daughtering station by taking one or more aliquots from one or more members in the parent library, wherein an aliquot is a definite fraction of a whole. This process is referred to as "daughtering." Literally, a liquid pipette, operated either manually or automatically

(e.g., robotically), draws a bit of a member from the parent library and dispenses that aliquot into another container to give a daughter library member. A limited number of members of the parent library may be daughtered or all the members may be daughtered at least once to create one daughter library. Thus, a daughter library may  
5 be smaller than the parent library in terms of either mass, volume or moles and/or in terms of the number of members. In other embodiments, the members of the parent library are maintained in a solid form. During the daughtering process known solid handling equipment and methods are used to take the aliquot from the parent library to create the daughter library, which will have members that are also solids. Thereafter,  
10 it may be necessary to dissolve the members of the daughter libraries in a solvent. Daughtering is performed in order to provide multiple libraries for multiple reactions of interest or multiple screens without having to recreate the parent library.

Optionally a filtering station is provided, which is preferably a parallel filtering station. The filtering station is useful to filter off solid phase agents or  
15 products from liquid products or precursors. For example, in some embodiments the metal precursors will be provided in solid-phase form, such as the solid phase metal delivery agents disclosed in commonly assigned U.S. Patent Application No. 09/025,841, filed February 19, 1998, incorporated herein by reference. The solid-phase metal precursors allow for synthesis of the catalyst libraries in the solution  
20 phase and then filtering off the solid-phase metal precursor. A filtering station provides for ease in the synthesis of the catalyst libraries or the ligand libraries. The ligands, metal precursors, ligand modifiers or activators may be provided in the solid phase (e.g., on a bead or other support) allowing for ease in ligand or catalyst preparation. Solid phase combinatorial synthesis of ligands is well known. See, e.g.,  
25 Ellman et al., "Solid-Phase Synthesis: Applications to Combinatorial Libraries", *Annu. Rep. Med. Chem.*, 1996, 31, pp. 309-318; Rees et al. "Solid-Phase Organic Reactions: A review of the Recent Literature", *Tetrahedron*, Vo. 52, No. 13, pp. 4527-4554, 1996; and Kaldor and Siegel, "Combinatorial chemistry using polymer-supported reagents", *Currents Opinion in Chemical Biology*, 1997, 1:101-106; each of  
30 which are incorporated herein by reference. Solid phase agents or reagents in association with combining generally allows for the use of excess agents or reagents, ease of purification or work-up and automating the process.

Reactions of interest may be performed in a parallel reactor chamber or a

parallel reactor block. Looking first at reactors that also contain screening capabilities, parallel reactors that are useful with this invention include a parallel solution reactor with internal sensing as disclosed in U.S. Patent Application No. 09/177,170, filed October 22, 1998 and its improved version, U.S. Patent Application  
5 No. 09/211,982, filed December 14, 1998 (having attorney docket no. 65304-059). This reactor includes internal sensing and thus is also a screen. This parallel reactor allows for the variation of several different processing conditions, and therefore allows one to combinatorialize reaction conditions or process variables. For example, a mechanical resonator (e.g., a tuning fork) may be the sensor that detects product,  
10 compound, reactant or reaction properties. In another embodiment the parallel reactor may be a closed chamber with a tuning fork in the reactor, as disclosed in U.S. Patent Application No. 08/946,921, filed October 8, 1997, which is incorporated herein by reference (published as WO 98/15501, which is also incorporated herein by reference).

15 Another parallel reactor that also includes screening capability is an optical screen, using infrared (IR) thermography or Fourier Transform Infrared (FTIR) spectroscopy or visible light or other optical viewing as disclosed in copending U.S. Patent Application 08/946,135, filed October 7, 1997, (published as WO 98/15815) or in copending U.S. Patent Application 08/947,085, and filed October 8, 1997,  
20 (published as WO 98/15805). These applications are incorporated herein by reference. Using an optical technique typically entails inserting the starting materials (e.g., catalyst library member with reactants or initiator with monomer) in an array format into a chamber (for example, a vacuum chamber or a chamber pressurized with reactant monomer or a chamber pressurized with an inert gas). The reaction of  
25 interest is performed in parallel in the chamber using a plate having multiple wells for the catalyst members or starting materials for the product members (such as a microtiter plate, for example). The chamber has a window that is invisible to the optical camera (e.g., calcium fluoride or sapphire crystal for an IR camera). As the reaction of interest is carried out, the optical camera monitors the reaction with active  
30 catalyst or polymer members meeting a specified property or characteristic. Alternatively, for example, a dye may be inserted into the reactor vessel array and the camera may monitor a color change. Also for example, an IR camera may monitor heat of reaction for exothermic reactions.

Another reactor/screen is related to thin layer chromatography ("TLC"), as described more fully in U.S. Patent Application No. 09/149,586, filed September 8, 1998, entitled "Sampling and Analysis of Reactions by Trapping Reaction Components on a Sorbent," incorporated herein by reference. In TLC screening, a reactant is added to the members of the catalyst library, thereby causing a reaction. A thin layer of sorbent is disposed on the plate, so as to cover the wells containing the catalysts. Vapor product resulting from the reactions then contacts the sorbent in discrete areas (heating may be necessary). In some cases, visual inspection is sufficient to determine active catalysts. But, a use of a commercially available florescent indicator reagent may be sprayed onto the sorbent, with the sorbent being exposed to ultra-violet light. A charge-coupled device camera or spectrum analyzer then captures intensity readings of the products on the sorbent to indicate which particular catalysts are desirable for those reactions.

Turning to reactors that do not include screening, other parallel reactors useful in this invention include a multi-temperature parallel reactor as disclosed in U.S. Patent Application No. 09/417,125 filed November 19, 1998 (having attorney docket no. 65304-014) and a continuous feed parallel reactor as disclosed in U.S. Patent Application No. 09/205,071, filed December 4, 1998 (having attorney docket no. 98-32). These applications are incorporated herein by reference. These reactors typically include the ability to combinatorialize certain process variables, such as temperature, time, feed rate, mixing rate, etc. Of course, the reactants, catalysts, initiators, etc. can also be modified or combined in different amounts (different moles, volume or mass). Another parallel reactor useful in this invention is a parallel batch reactor. Such a reactor is shown in Figure 3. Figure 3 shows a batch reactor 300 having a reactor block 302 with a plurality of wells 304 for receiving a plurality of reactor vessels 306. To seal the reactor vessels 304, a sheet 308 is placed over the top lip of the plurality of reactor vessels 306 and a top plate 310 is fastened to the reactor block 302. Fastening may be by bolts, clips, clamps, wing nuts or other fastening methods known to those of skill in the art. Bolts 312 are shown in Figure 3 as the fastening method and the bolts 312 are screwed into threads drilled into the reactor block 302. Materials useful as the reactor block and top plate include aluminum, steel or other metals, with aluminum being preferred for its thermal transfer properties. The reactor vessels 306 may be plastic or glass, with glass being preferred. The sheet 308 is typically made

from a material that is chemically resistant to the reaction of interest taking place in the reactor vessels as well as being elastic for its sealing properties. The sheet 308 may be selected from the group consisting of Teflon®, silicone rubber, Vitron®, Kalrez® or equivalents. Parallel batch reactors of this type are useful for the reactions of interest discussed above, and may be heated. Mixing/stirring balls may be added to the parallel batch reactor, which may then be placed on a rocking or rotating plate fixed with a heating element for mixing and heating the reaction contents. Alternatively, magnetic stirrers may be placed in the vessels and the reactor block may be placed on a heater/stirrer plate to afford agitation and heating. Known liquid-handling robots may be used to dispense reactants, etc. into the batch parallel reactors, but manual dispensing may also be used.

Screens may be performed after the reaction of interest has taken place. Such screens are typically for a property or a chemical of interest. In addition to the screens discussed above, screens include solid-phase staining, as disclosed in U.S. Patent Application No. 09/067,448, filed April 27, 1998, which is incorporated herein by reference. Solid-phase staining uses stains to determine if a desired chemical transformation has taken place by either observing a color change or the lack of a color change in a dye that is inserted into the reaction of interest. Parallel TLC may also be used as a screen in this invention, as disclosed in U.S. Patent Application No. 09/062,128, filed April 17, 1998, which is incorporated herein by reference. A depolarized light scattering array may screen the reactions of interest in an apparatus and method disclosed in U.S. Patent Application No. 09/174,986, filed October 19, 1998, which is incorporated herein by reference. Also, rapid thermal analysis, using a sensor array may be used, as disclosed in U.S. Patent Application No. 09/210,485, filed December 11, 1998 (having attorney docket no 65304-039), which is incorporated herein by reference. Those of skill in the art will recognize that NMR, GC/mass spectrometry, and LC/mass spectrometry, which are commercially available, may also be used for screening product libraries. Finally, rapid polymer characterization techniques may be used, as discussed in U.S. Provisional Patent Application No. 60/080,652, which is incorporated herein by reference.

One method and system disclosed herein utilizes a parent ligand library having a plurality of member ligands as an initial starting point in generating one or more catalyst libraries. In some embodiments, an important feature of the parent ligand



library is chemical diversity within the library. This invention will allow such chemically diverse ligand species to be tested parallel. In other embodiments, a single or few ligands will be used repeatedly in a variety of reactions under a variety of conditions for optimization of a reaction with a particular catalyst. With the parent  
5 ligand library provided, the next step is optional and entails forming one or more daughter libraries 200 from the parent library 10, as shown in Figure 2. Literally, a liquid pipette, operated either manually or automatically (e.g., robotically), draws a bit of liquid member ligand 100 from the ligand library 10 at point 70 and dispenses that aliquot into another container to give a daughter library member ligand 202. Point 70  
10 in Figure 2 can be considered a daughtering station. In accord with this invention, a portion of the ligand library 10 may be daughtered at least once to create one daughter library 200. Thus, creation of the one or more daughter libraries 200 may be only for a portion of the ligand library 10 member ligands 100. More typically, however, each member of the ligand library is daughtered to one or more daughter libraries 200, as is  
15 shown in Figure 2. After the daughtering step, the daughter libraries 200 may be dried or stored in a manner similar to the parent ligand libraries. In other embodiments, the member ligands 100 of the ligand library 10 are maintained in a solid form. During the daughtering process known solid handling equipment and methods are used to take the aliquot from the ligand library to create the daughter  
20 library, which will have members that are also solids. Thereafter, it may be necessary to dissolve the member ligands 202 of the daughter libraries 200 in a solvent. The daughtering process is optional because one may go directly from ligand synthesis to catalyst formation; however, this has the disadvantage of using the entire ligand library, meaning that the member ligands in the ligand library must be re-synthesized  
25 for experimentation beyond the first reaction or screening experiment.

Once the daughter libraries are formed (or using the ligand library), and continuing with Figure 2, one or more metal precursors 204 are added to at least a portion of the members 202 of the daughter libraries 200 to create one or more libraries of target metal-ligand complexes, e.g., catalyst libraries 220. Combining  
30 may take place at one or more daughtering stations or one or more combining stations. Each of the components or members or libraries to be combined is provided at the daughtering or combining station and known robotic techniques may be used to transfer such components to the daughtering or combining station. Another option

shown in Figure 2 is to create daughter catalyst libraries 222 from the catalyst library 220 by taking one or more aliquot from the catalyst library. This may be done at a daughtering station after at least the metal precursors and ligands have been combined, with or without ligand modifiers or activators. This catalyst daughtering option may also be used when different activating options are being researched for the same ligand metal precursor combinations. Additionally, this option may be desirable when the same catalyst library will be tested for different reactions of interest or when the same catalyst library will undergo the same reaction of interest at different reaction conditions, such as with an optimization research and development program.

Once the catalyst libraries 220 or daughter catalyst libraries 222 are prepared, the members 221,223 are subjected to a reaction of interest at either a reactor station or a screening station. Reactions of interest may be performed in parallel or in a serial fashion. Different reactions of interest may be performed on daughter catalyst libraries or catalyst libraries. Referring again to Figure 2, the catalyst library 220 may follow path X and be daughtered as discussed above into daughter catalyst libraries 222. Path X shows a research path including either (1) reaction with screening or (2) reaction and subsequent screening. Each catalyst library 220,222 is tested in a reaction of interest at a reaction station 80 to create one or more product libraries 224. In parallel or serial fashion, the reactants are added to the reactor with the catalyst members and the reaction is performed under predetermined conditions. If a reactor is chosen with sensing capabilities, then screening may take place during the reaction. After the reaction, the results may be tested in post reaction screening 90 for a property or a compound or a material. For example, if a small molecule transformation, such as aryl amination, is the reaction of interest then staining may be used to determine if the transformation has occurred by choosing a stain appropriate for the product or reactant.

Path Y in Figure 2 shows a research path having multiple reactions and screens. The catalyst library 220 is daughtered into daughter catalyst libraries 222 with the daughter libraries each being screened in a primary screen 110. A primary screen is one that runs the reaction of interest, and provides sufficient data to determine at least whether a catalyst member was active in the reaction of interest or whether a product of interest was formed in the reaction. A primary screen may be one where the catalysts or products are not separated from each other, but subjected

together to the reaction of interest in a parallel optical screen. For example, if the reaction of interest is the polymerization of ethylene, the primary screen may be a chamber that allows ethylene to contact all catalyst library members simultaneously. The active catalysts may be identified from the inactive or less active catalyst by encoding the catalyst members of the catalyst library, as disclosed in copending U.S. Patent Application No. 09/033,207, filed March 2, 1998, which is incorporated herein by reference. The primary screen may be an infrared screen that identifies active catalysts by heat of reaction, such as disclosed in copending U.S. Patent Application 08/946,135, filed October 7, 1997, which is incorporated herein by reference (published as WO 98/15815, which is also incorporated herein by reference). The primary screen may be another optical technique to determine if a product has been made; for example, if the reaction of interest is an emulsion polymerization, the optical screen may determine if an emulsion was created. Useful optical techniques are disclosed in copending U.S. Patent Application 08/947,085, and filed October 8, 1997, which is incorporated herein by reference (published as WO 98/15805, which is also incorporated herein by reference). Another possible primary screen is a parallel thin layer chromatography system, as disclosed in 09/062,128, filed April 17, 1998 and incorporated herein by reference. Other primary screens may be known or developed by those of skill in the art for specific reactions of interest.

Any screen may be a primary screen, however, the object of having a primary screen is to eliminate some of the members 221, 223 of the catalyst libraries or daughter catalyst libraries from further, more detailed testing. Since an enormous number of ligands and metal precursors are typically being combined in different routes, it may be that one route is not applicable for a particular metal precursor/ligand combination. A primary screen would eliminate such an inapplicable route at a lower cost than a screen that provides more detailed information. As such primary screens are designed to quickly, effectively and/or efficiently reduce the number of catalyst members that are screened for detailed information (such as conversion and selectivity or polymer particle size).

Path Y also shows that after the primary screen 110, members of catalyst or product libraries 220,222, 224 that pass the primary screen are sent to a secondary screen 112. The secondary screen runs the same reaction of interest under conditions that supply more data than the primary screen. Any of the screens discussed above

may be a primary or secondary screen. Another feature of Figure 2 is a feedback loop 95 that provides information for the synthesis of new parent libraries or new daughtering. The information comes from a post reaction screen 90, a reaction that includes a screen 80, a primary screen 110 or a secondary screen 112. For example, 5 the information sent in the feedback loop 95 may be catalyst activity, compound existence or disappearance, polymer properties or any other information that comes from the screens that are performed. This feedback loop may be such as disclosed in U.S. Patents 5,563,564, herein incorporated by reference.

It will be readily apparent to those of skill in the art that the foregoing reaction 10 and/or screening methods are intended to illustrate, and not restrict the ways in which the catalyst or product libraries can be screened for useful properties for a reaction of interest. Other screening techniques and apparatuses known to those having skill in the art may similarly be employed.

An apparatus or system 500 for researching for novel ligands, catalysts or 15 products is illustrated in Figure 5. System 500 includes a parent library 502, a combining station 503, a daughtering station 504 (to create one or more daughter libraries 506), optionally a filtering station 508, a reaction station 510, a screening station 511 and an automated robotic system, represented by arrows 512 to move libraries from one station to another. As used herein a "station" is a location in the 20 apparatus that performs one or more functions. The functions may be combining the starting components, combining ligands with metal atoms, ions or precursors, creating a product library via a reaction, screening or any of the other functions discussed above. Thus, the station may comprise a liquid handling robot with pumps and computers (as known in the art) to dispense, dissolve, mix and/or move liquids from 25 one container to another. The station may include any of the reactors discussed above, and may be in an inert atmosphere glove box. A location in the apparatus may perform multiple functions, but for purposes of discussing the methodology in block diagram form, each location or station herein will be referred to as a separate station.

Basically, starting components or parent ligand libraries or metal precursors, 30 etc. are inputted into the apparatus (or retrieved from storage) and sent to a combining station 503. After combination, the product library is formed via reaction at the reaction station 510. If screening does not occur during the reaction, the product library is sent to the screening station 511 for screening as discussed above. A

daughtering station 504 can be inserted into the process to create daughter libraries 506. As discussed above, when ligands are being combined with metal precursors, this takes place at the combining station. Finally, a filtering station 508 may be used when solid phase agents are used in the process.

5           Figure 5 illustrates a block diagram flow for a methodology useful in this invention. Starting components or parent libraries may be maintained in storage and retrieved from storage and moved via the handling system 512 to the combining station 503. Optional in this process is the daughtering of the parent library at the daughtering station 504. Multiple paths are shown from the daughtering station 504  
10 to the combining station 503 to show the possibility that multiple daughter libraries are transferred to the combining station 503. The combining station combines the starting components together in a predefined manner, using the components, ratios, etc. as discussed above. Typically, exiting the combining station 503 is either a catalyst library or a combination of components for turning into a product library.  
15 One option is for the results of the combination to go to a filtering station 508. Since filtering removes unwanted materials from the library (typically from the catalyst library), it may be desirable to daughter the library after filtering, which is accomplished at a daughtering station 504 between the filtering station 508 and the reaction station 510. The catalyst library or combination of starting components  
20 proceeds to a reaction station 510, where the product library is formed. In other words, the reaction of interest is run at the reaction station 510. Process diversity is accomplished at the reaction station 510 using the reaction options discussed above. From the reaction station 510, the product library proceeds to the screening station 511, where a predetermined screen is run to determine if the reaction of interest was  
25 successful and/or the qualitative or quantitative degree of success of the reaction of interest. The screening station may include a single screen or multiple screens (such as a primary and secondary screen) and may entail using multiple locations for the multiple screens. A feed-back loop 95 is provided that takes screening information from either the reaction station 510 (when a reaction that includes a screen is used) or  
30 the screening station 511. This screening information is used at the combining station 503 for new combinations of starting components or creating new catalyst libraries, etc. The feed-back loop 95 may also feed screening information to the starting

components or parent libraries or the storage location for new product libraries to be created for making a product of interest.

The system 500 includes a computer or processor based system 514 that controls, monitors and/or coordinates the process steps as well as interaction between the various stations 503, 504, 508, 510 and 511. The "control" system also coordinates the movement of plates (parent or daughter) moving in the robotic system 512. The "control" system 514 also includes computers, processors and/or software that a user (e.g., chemist) may use to interact with the system 500. Ideally, the control system 514 contains sufficient hardware and software so that it is "user-friendly", for example so that the amount of input by the user is limited to the essential design and process elements. The control system 514 can comprise a central computer or processor to command, control and monitor each subsystem or station or piece of the system 500. Alternatively, the control system 514 can comprise an integrated architecture with one or more of the subsystems, stations or pieces is a smart system of its own right. Thus, a user of the "control" system 514 may design a set of experiments to create a product library, specify the screen of that product library and command the system to perform all the chemistry and screening automatically from chemicals in storage.

For example, the "control" system 514 may command transportation of a library plate from storage to a combining station giving instructions to the combining station that specify the types and volumes of chemicals to dispense. Another similar example is where similar instructions are used with a daughtering station. The "control" system 514 may also control the robotics 512 to move chemicals to the various stations 503, 504, 508, 510 and 511. As a further example, the "control" system 514 may monitor and control the time that a plate remains at a station or the time that a reaction of interest is allowed to run, such as by instructing a robot to add a catalyst kill to reactor vessels at various times. Still further, the "control" system may monitor and control a screen, such as by moving a product library to the screening station and instructing an auto-sampling robot to sample the product library with particular solvents for injection and into a molecular weight screen. Additionally, the "control" system 514 may collect, manipulate and/or store screening data. For example, the "control" system 514 may take data from a screen, reduce that data and then send the data for storage to a database. The "control" system 514 can also

monitor the system 500 for safety, problems or other process issues. The "control" system may also include the feed-back loop 95, discussed elsewhere.

For example, robotic system 512 preferably includes an automated conveyer, robotic arm or other suitable device that is connected to the "control" system 514 that is programmed to deliver the library plate 502 or daughter plates 506 to respective stations 503, 504, 508, 510, 511. The processor is programmed with the operating parameter using a software interface. Typical operating parameters include the coordinates of each of stations 503, 504, 508, 510, 511 in the system 500 as well as both the library storage plate and daughter plates positioning locations at each station. Other data, such as the initial compositions of each ligand modifier, metal precursor, activator and the initial compositions of the ligands may also be programmed into the system.

In some embodiments, a library is stored in a storage plate 502, as more clearly seen in Figure 6B. The library storage plate 502 includes a number of wells 604 formed therein that receive vials 606 containing the library members, as shown in Figure 6C. Each vial 606 may be provided with a cap 608 having a septum 610 for protecting the members when being stored. An optional lid 612 having latches 614 shown in Figure 6B for connecting to the storage plate 502 may also be provided for storage purposes. Figure 6A also shows that the library plate 502 may be stored in a rack 620 prior to transfer to the next station, such as a combining station 503 or daughtering station 504.

In a preferred embodiment, referring to Figure 7, a combining station 503 or a daughtering station 504 includes a daughtering robotic arm 702 that carries a movable probe 704 and a turntable 706 for holding multiple daughter plates 506 while the daughtering step is being performed. Daughtering robotic arm 702 is movable. The robotic system 512 manipulates the probe 704 using a 3-axis translation system. The probe 704 is movable between vials of ligand modifiers, metal precursors, and activators arranged adjacent the synthesis station and plate.

Once the product libraries are created, the robotic handling system 512 next transports plates to a screening station 511. As this system may be configured to perform multiple screening steps using multiple screening techniques, and there may be more than one screening station. It is preferred that plates containing the libraries each are each receivable in a reactor blocks for the screening operation. Indeed, the

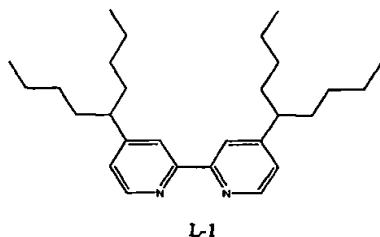
plates may be the reactor block that is moved from one station to the next. As disclosed in the copending applications, in one embodiment, the reaction block generally contains heating elements and temperature sensing devices— thermocouples, thermistors, RTD's and other similar devices – that communication with a processor.

- 5 The heating elements, temperature sensing devices, and the processor comprise a temperature control system that maintains the temperature of each of the catalyst library members at a pre-selected temperature during the reaction such that the catalysts may be analyzed as a function of temperature.

10

## EXAMPLE

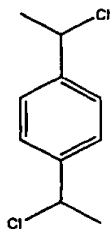
In a dry, nitrogen atmosphere glovebox stock solutions were prepared using ligand L-1 having the structure shown below:



15

L-1 was synthesized from reductive coupling of 4-(5-nonyl)pyridine using Pd/C catalyst at 200 °C.

- 1-chloro-1-phenylethane (hereinafter "I-1") was synthesized by treatment of styrene with HCl and purified by distillation. I-2 was synthesized by reaction of  
20 commercially available divinylbenzene with HCl, followed by purification by distillation. I-2 had the following structure:



- 25 All other materials were commercially available and were purified using conventional techniques.



Five stock solutions were prepared in a dry nitrogen atmosphere glovebox (I, II, III, IV, and V), as follows: Solution I comprised 19.8 mg (0.141 mmol) of 1-chloro-1-phenylethane (I-1) and 800  $\mu$ L (6.98 mmol) of styrene. Solution II comprised 20 mg (0.2 mmol) CuCl, 174 mg of L-1 (0.42 mmol), and 3.33 mL (29.1 mmol) of styrene. Solution III comprised 14.2 mg of I-2 (0.07 mmol) and 800  $\mu$ L (6.98 mmol) styrene. Solution IV comprised 14.7 mg (0.105 mmol) of I-1, 10.4 mg (0.105 mmol) CuCl, 90 mg (0.022 mmol) of L-1, and 6 mL (52.4 mmol) of styrene. Solution V comprised 10.7 mg (0.0525 mmol) of I-2, 10.4 mg (0.105 mmol) CuCl, 90 mg (0.022 mmol) of L-1, and 6 mL (52.4 mmol) of styrene.

A 7-row by 12-column 84-vessel glass-lined aluminum reactor block array with approximately 800  $\mu$ L volume per vessel was prepared in a drybox under dry nitrogen atmosphere and stock solutions I-V were manually distributed to the vessels using a metering pipettor, such that elements 1-5 received a gradient of Solution I (100  $\mu$ L, 50  $\mu$ L, 33.3  $\mu$ L, 25  $\mu$ L, and 20  $\mu$ L), 100  $\mu$ L of Solution II, and a gradient of excess styrene (0  $\mu$ L, 50  $\mu$ L, 66.7  $\mu$ L, 75  $\mu$ L, 80  $\mu$ L). Elements 6-10 received a gradient of Solution III (100  $\mu$ L, 50  $\mu$ L, 33.3  $\mu$ L, 25  $\mu$ L, and 20  $\mu$ L), 100  $\mu$ L of Solution II, and a gradient of excess styrene (0  $\mu$ L, 50  $\mu$ L, 66.7  $\mu$ L, 75  $\mu$ L, 80  $\mu$ L). Elements 11-15 received a gradient of Solution I (100  $\mu$ L, 50  $\mu$ L, 33.3  $\mu$ L, 25  $\mu$ L, and 20  $\mu$ L), 100  $\mu$ L of Solution II, a gradient of excess styrene (0  $\mu$ L, 50  $\mu$ L, 66.7  $\mu$ L, 75  $\mu$ L, 80  $\mu$ L), and 200  $\mu$ L of diphenylether. Elements 16-20 received a gradient of Solution III (100  $\mu$ L, 50  $\mu$ L, 33.3  $\mu$ L, 25  $\mu$ L, and 20  $\mu$ L), 100  $\mu$ L of Solution II, a gradient of excess styrene (0  $\mu$ L, 50  $\mu$ L, 66.7  $\mu$ L, 75  $\mu$ L, 80  $\mu$ L), and 200  $\mu$ L of diphenylether. Elements 21-50 (a 5x6 array) received 150  $\mu$ L of Solution IV and a gradient of dilutions along each row by adding solvent (75  $\mu$ L, 150  $\mu$ L, 225  $\mu$ L, 300  $\mu$ L, 375  $\mu$ L, 450  $\mu$ L) with a different solvent in each row (diethyl carbonate, benzene, o-dichlorobenzene, m-dimethoxybenzene, and diphenylether, respectively). Similarly, elements 51-80 (a 5x6 array) received 150  $\mu$ L of Solution V and a gradient of dilutions along each row by adding solvent (75  $\mu$ L, 150  $\mu$ L, 225  $\mu$ L, 300  $\mu$ L, 375  $\mu$ L, 450  $\mu$ L) with a different solvent in each row (diethyl carbonate, benzene, o-dichlorobenzene, m-dimethoxybenzene, and diphenylether, respectively). In this fashion an array of 7x12 diverse polymerization reactions were prepared, requiring a setup time of approximately 5 hrs. The reactor block array was sealed using a Teflon membrane covering a silicon rubber sheet compressed with an aluminum plate bolted

in place.

The reactor block array was then heated to 120 °C for 15 hrs with agitation provided by an orbital shaker. The reactor block array was allowed to cool, and to each vessel was added THF such that the total volume reached 0.8 mL, and the block  
5 was re-sealed and heated at 105°C with orbital shaking for approximately 1 hr, to allow formation of homogeneous fluid solutions. The reactor block was then allowed to cool.

Each element of the array was analyzed by rapid manner using the following equipment and method:

10 Figure 4 shows the general layout of the equipment including an eight port valve 1210 and a filter 1212. A light scattering detector 1216 and a RI detector 1218 were used. Samples were injected into the 8-port valve, having two 50- $\mu$ l injection loops and the system was maintained at a temperature of 36°C. A short chromatographic column 1214 (Polymer Laboratories, 1110-1520, sold as a GPC  
15 guard column) was in-line between the filter 1212 and the light-scattering cell 1216. Samples were manipulated with a sampler 1200 automatically. The sampler 1200 had a tip 1201 that obtained the polymer sample from the sample tray 1202. The sampler 1200 moved the tip 1201 into a loading port 1204 that sent the sample through the transfer line 1206. The system was controlled by a single computer 1222 that  
20 controlled the sampler 1200, loading of the sample via the loading port 1204, as well as collecting data from the light scattering detector 1216 and the RI detector 1218.

$M_w$  for each sample was calculated using an algorithm incorporated in the analysis software ("Precision Analyze", version 0.99.031(06/08/97), Precision Detectors) accompanying the PD2020. In order to determine  $M_w$ , points in the  
25 chromatogram representing the baselines of the 15 and 90 degree signals and the RI signals were first selected ("baseline regions"). Linear least-squares fits of these points defined the three baselines. Then, an integration region encompassing the main sample peak was chosen. The software then calculates  $M_w$  based on the SLS and RI data and baseline values in this integration region. The calculation was performed in  
30 the limit of the radius of gyration,  $R_g$ , being much less than the measurement wavelength, and the polymer concentration in the dilute limit representing isolated molecules. This calculation also used the angular form-factor,  $P(\theta)$ , appropriate for a Gaussian-coil molecule, and fitted it to the SLS signals to extract  $M_w$ . For polymers

with  $M_w$  less than about 10,000 kD, this method determined values of  $M_w$  within less than 5% of values calculated assuming non-Gaussian-coil forms of  $P(\theta)$ .

$R_h$  is calculated from the diffusion constant of the polymer molecules, which is obtained by fitting the photon-photon correlation function to an exponential. The PD2020 system was designed to allow for measurements of  $R_h$  at each time-slice of the chromatogram for sufficiently low flow rates.

Using a programmable robotic sampler, 20  $\mu$ L of each reactor were drawn and dispensed along with 250  $\mu$ L of THF into a polypropylene microtiter plate. 100  $\mu$ L of this diluted sample was drawn and used to load a 50  $\mu$ L sample loop on an HPLC injector, followed by rapid light scattering evaluation. During the time of each analysis, the step of diluting the next sample was conducted, so that each sample injection automatically occurred at 40 sec. intervals. Table 1, below, shows the average  $M_w/1000$  of the samples derived from the analysis.

Table 1:

Col >	1	2	3	4	5	6	7	8	9	10	11	12
Row1	22.2	35.7	46.3	55.8	63.7	NR	NR	25.9	47.6	57.3	72	78.2
2	8.65	15	22.3	26.6	30.4	NR	NR	11.2	19.8	33.1	40.1	42.9
3	28.9	20.2	16.6	12.6	12	11.9	44	34.3	29.8	20.9	17.6	16.4
4	38.9	29.6	26.1	24.1	24.2	22.9	56	51.7	45	38.7	30.9	27
5	47.8	34.8	23.6	18.6	15.4	14.1	59.9	48.3	33.7	25.2	22.6	18.3
6	40.6	28.6	15.3	12.9	12	13.1	45.8	20.8	17.7	12.3	13.3	13.8
7	40.3	30.2	23.2	20.9	19.5	19.2	46.8	37.4	34.2	29.7	28.6	27.8

The expected trends of decreasing molecular weight with increasing dilution, and decreasing molecular weight with decreasing monomer to initiator ratio were observed. This demonstrates very rapid molecular weight determinations in combinatorial discovery of optimal catalytic processes.

It is to be understood that the above description is intended to illustrative and not restricted. Many embodiments will be apparent to those of skill in the art upon

reading the above description. The scope of the invention should, therefore, be determined not with reference to the above description, but should instead be determined with reference to the appended claims, along with the full scope of equivalents to which such claims are entitled. The disclosures of all articles and  
5 references, including patent applications and publications, are incorporated herein by reference for all purposes.

CLAIMS

1. A method for researching for novel catalysts, comprising:  
providing a ligand library comprising a plurality of member ligands, each of  
said ligand members having a common scaffold but varying in structural diversity;  
5 forming one or more daughter libraries from said ligand library by taking one  
or more aliquots from one or more member ligands in said ligand library;  
creating one or more catalyst libraries from at least a portion of said daughter  
libraries by adding at least one metal precursor to said members of said daughter  
libraries; and  
10 screening at least a portion of said catalyst libraries in a reaction of interest.
2. The method of claim 1, wherein said ligand library further comprises  
member ligands having two or more different scaffolds so that said daughter libraries  
contain members having different scaffolds and varying in structural diversity.  
15
3. The method of claim 2, wherein a different metal precursor is added to  
said member ligands in the daughter libraries such that the number of different metal  
precursors added to said ligand library is equal to the number of different scaffolds.
- 20 4. The method of claim 3, wherein there are three different scaffolds in  
said ligand library.
5. The method of claim 3, wherein there are four different scaffolds in  
said ligand library.  
25
6. The method of claim 1, wherein a first daughter library and a second  
daughter library are formed, at least a portion of said first daughter library being  
combined with a first metal precursor, at least a portion of said second daughter  
library being combined with a second metal precursor, and wherein said first and  
30 second metal precursors are different from one another, so as to create two different  
catalyst libraries.
7. The method of claim 1, wherein first, second and third daughter

libraries are formed, at least a portion of said first daughter library being combined with a first metal precursor, at least a portion of said second daughter library being combined with a second metal precursor, and at least a portion of said third daughter library being combined with a third metal precursor, wherein said first, second and  
5 third metal precursors are different so as to create three different catalyst libraries.

8. The method of claim 1, wherein each daughter library has substantially the same member daughter ligands.

10 9. The method of claim 8, wherein each member daughter ligand is a solid.

10. The method of claim 1, wherein said member ligands of said ligand library are solids.

15 11. The method of claim 1, wherein said member ligands of said ligand library are dissolved in a solvent.

12. The method of claim 3, wherein said metal precursors are inorganic  
20 materials.

13. The method of claim 3, wherein said metal precursors are dissolved in a solvent.

25 14. The method of claim 1, further including adding at least one ligand modifier to at least a portion of said one or more daughter libraries prior to adding said metal precursor.

30 15. The method of claim 1, further comprising activating at least a portion of said catalyst libraries by adding an activator to said one or more catalyst libraries prior to screening said catalyst libraries.

16. The method of claim 14, further including adding different ligand

modifiers to member ligands in said daughter libraries having different scaffolds such that the number of different ligand modifiers added to each of said daughter libraries is equal to the number of different scaffolds for each member ligand in said daughter libraries.

5

17. The method of claim 1, wherein members of said catalyst libraries are homogeneous catalysts.

18. The method of claim 1, wherein members of said catalyst libraries are  
10 supported homogeneous catalysts.

19. The method of claim 1, wherein said reaction of interest is selected from the group consisting of: carbonylation, hydroformylation, hydroxycarbonylation, hydrocarbonylation, hydroesterification, hydrogenation, transfer hydrogenation,  
15 hydrosilylation, hydroboration, hydroamination, epoxidation, aziridination, reductive amination, aryl amination, polymerization, oligomerization, C-H activation, insertion, C-H activation-insertion, C-H activation-substitution, C-halogen activation, C-halogen activation-insertion, C-halogen activation-substitution, cyclopropanation, alkene metathesis, and alkyne metatesis.

20

20. The method of claim 1, wherein said screening at least a portion of said catalyst libraries in a reaction of interest includes subjecting said catalyst libraries to reaction conditions in parallel using a parallel reactor.

21. The method of claim 20, wherein said screening is performed in a  
25 parallel polymerization reactor.

22. The method of claim 20, wherein said screening is performed in a continuous parallel feed reactor.

30

23. The method of claim 20, wherein said screening is performed in a parallel batch reactor.

24. The method of claim 20, wherein said screening is performed in a multi-temperature parallel reactor.

25. The method of claim 20, wherein subjecting at least a portion of each  
5 of said catalyst libraries to reaction conditions yields a product library and said method further comprises screening said product library for a property of interest.

26. The method of claim 25, wherein said property of interest is selected from the group consisting of electrical, thermal, mechanical, morphological, optical,  
10 magnetic or chemical.

27. The method of claim 20, wherein subjecting at least a portion of each of said catalyst libraries to reaction conditions yields a product and said method further includes screening at least a portion of said product library for a compound of  
15 interest.

28. The method of claim 20, wherein subjecting at least a portion of each of said catalyst libraries to reaction conditions in parallel includes varying one or more of the process variables across the parallel reaction of interest, with said process  
20 variables being selected from the group consisting of amounts or ratios of starting components, time for reaction, reaction temperature, reaction pressure, rate of starting component addition to the reaction, residence time, reaction atmosphere, mixing rate and combinations thereof.

25 29. The method of claim 1, wherein said screening includes at least two different screening operations including a primary screen and a secondary screen, wherein said primary screen serves to eliminate at least a portion of catalyst library members from further testing prior to performing said secondary screen.

30 30. The method of claim 29, wherein said primary screening is performed by a method selected from the group consisting of: infrared spectroscopy, infrared imaging, Fourier transform infrared spectroscopy, thin layer chromatography, solid phase staining, rapid gel permeation chromatography, nuclear magnetic resonance



spectroscopy, depolarized light scattering, rapid thermal analysis, gas composition – mass spectrometry, and liquid composition – mass spectrometry.

31. The method of claim 1, wherein said screening includes subjecting said  
5 catalyst libraries to a reaction of interest to form a product library and further comprising screening said product library for a compound or property of interest.

32. The method of claim 1, wherein said screening is performed  
simultaneously with subjecting at least a portion of each of said catalyst libraries to  
10 reaction conditions.

33. The method of claim 31, wherein at least a portion of each of said  
product libraries is screened by a method selected from the group consisting of  
infrared spectroscopy, infrared imaging, parallel polymerization reaction, liquid  
15 chromatography, light scattering, polymer structural testing, polymer melt flow testing, polymer property testing, Fourier transform infrared spectroscopy, thin layer chromatography, solid phase staining, rapid gel permeation chromatography, nuclear magnetic resonance spectroscopy, depolarized light scattering, rapid thermal analysis, gas composition – mass spectrometry, and liquid composition – mass spectrometry.

20 34. The method of claim 29, wherein said secondary screen is selected from the group consisting of a parallel polymerization reaction, liquid chromatography, light scattering, polymer structural testing, polymer melt flow testing, polymer property testing, Fourier transform infrared spectroscopy, thin layer  
25 chromatography, solid phase staining, rapid gel permeation chromatography, nuclear magnetic resonance spectroscopy, depolarized light scattering, rapid thermal analysis, gas composition – mass spectrometry, and liquid composition – mass spectrometry.

35. A method for researching for novel catalysts, comprising:  
30 providing a ligand library comprising a plurality of member ligands, wherein said member ligands have one or more scaffolds that have varying structural diversity; transferring said ligand library to a daughtering station; forming one or more daughter libraries from said ligand library by taking one

or more aliquots from one or more member ligands in said ligand library;  
adding at least one metal precursor to at least a portion of said daughter  
libraries to create one or more catalyst libraries;  
transferring said catalyst libraries to a reaction station and performing a  
5 reaction of interest with the members of said catalyst library to form a product  
library; and  
screening at least a portion of said product library for a compound or property  
of interest.

10 36. The method of claim 35, further comprising adding at least one ligand  
modifier to at least a portion of said one or more daughter libraries prior to adding  
said metal precursors to said at least a portion of said member ligands in said daughter  
libraries.

15 37. The method of claim 36, further comprising activating at least a  
portion of said catalyst libraries by adding an activator to said one or more catalyst  
libraries prior to performing said reaction of interest.

20 38. The method of claim 37, further comprising transferring said daughter  
plates to a combining station, wherein said metal precursors are added to said member  
ligands of said daughter libraries at said combining station.

25 39. The method of claim 38, said reaction of interest is performed at a  
reaction station.

40. The method of claim 39, wherein said reaction station further  
comprises a parallel reactor to subject at least a portion of each of said catalyst  
libraries to reaction conditions in parallel.

30 41. The method of claim 40, further comprising transporting member  
catalysts of said catalyst libraries from a storage plate into said parallel reactor.

42. The method of claim 35, wherein said product library is screened for a

property of interest, wherein said property of interest is selected from the group consisting of: electrical, thermal, mechanical, morphological, optical, magnetic or chemical.

5           43.     The method of claim 35, wherein said product library is screened for a compound of interest.

          44.     A system for the research or development of catalysts or polymers comprising:

10           a storage area comprising two or more starting components;

          a combining station that receives said starting components and combines said starting components in different ratios;

          a reaction station that receives said different ratios of starting components and subjects said different ratios of starting components to a reaction of interest in parallel  
15           under reaction conditions thereby forming a product library; and

          a screening station that receives said product library and screens said product library for a compound or property of interest.

          45.     The system of claim 44 further comprising a filtering station between  
20           said combining station and reaction station.

          46.     The system of claim 44 further comprising a daughtering station adapted to receive one or more starting components in library form, said different ratios of said starting components or said product library.

25           47.     The system of claim 44 further comprising a transport system for transporting starting components or libraries from station to station.

          48.     The system of claim 44 further comprising a control system for  
30           controlling or monitoring said system.

          49.     The system of claim 48, wherein said control system collects data from said screening station and stores such data in a database.

50. The system of claim 48 wherein said control system synchronizes the movement of library plates from one station to another.

5 51. A system for researching for novel catalyst libraries, comprising:  
a ligand library storage plate containing a plurality of ligand library member  
ligands, wherein said member ligands have a common scaffold but vary in structural  
diversity;  
a daughtering station wherein a plurality of daughter libraries are formed by  
10 taking one or more aliquots from one or more member ligands of said ligand library;  
a plurality of daughter plates for storing said daughter libraries;  
a combining station wherein metal precursors are added to at least a portion of  
said daughter libraries to create a plurality of catalyst libraries;  
a reaction station wherein at least a portion of said catalyst libraries are  
15 subjected to a reaction of interest; and  
an automated robotic system for forming said catalyst libraries and transferring  
said storage plates and daughter plates between said stations in said system.

20 52. A method of researching for polymers having a predetermined  
property, comprising:  
mixing two or more starting components in a plurality of ratios,  
subjecting each of said ratios of starting components to a polymerization  
reaction in parallel under polymerization conditions to create a polymer library having  
a plurality of members and,  
25 screening said polymer library for said property.

53. The method of claim 52, wherein polymerization conditions are varied  
between two or more of said ratios of starting components.

30 54. The method of claim 53, wherein the polymerization conditions that  
are varied are selected from the group consisting of amounts of starting components,  
time for reaction, reaction temperature, reaction pressure, rate and/or method of  
starting component addition to the reaction of interest, residence time, reaction stir

rate and/or method, reaction kill rate and/or method and reaction atmosphere.

55. The method of claim 54, wherein the polymerization condition that is varied is the amount of starting components and said amount is selected from the  
5 group consisting of volume, moles and mass.

56. The method of claim 55, further comprising daughtering said polymer library into two or more daughter polymer libraries prior to said screening.

10 57. The method of claim 56, wherein a different screening test is performed on each of said two or more daughter polymer libraries.

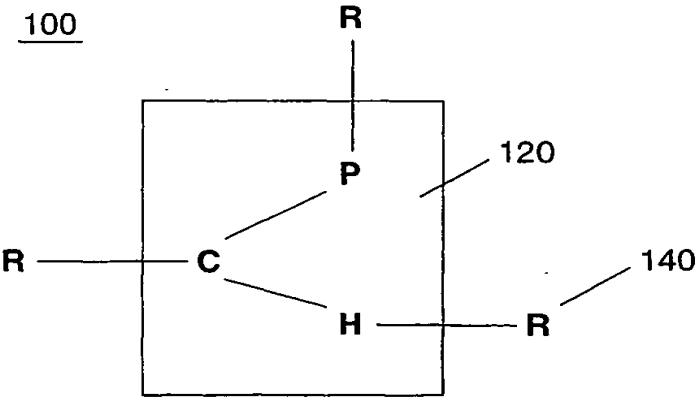


Fig. 1A

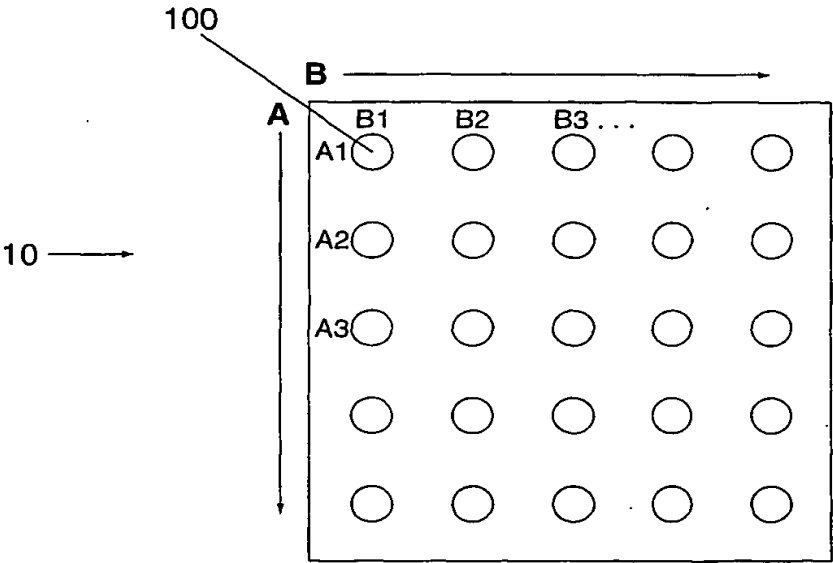
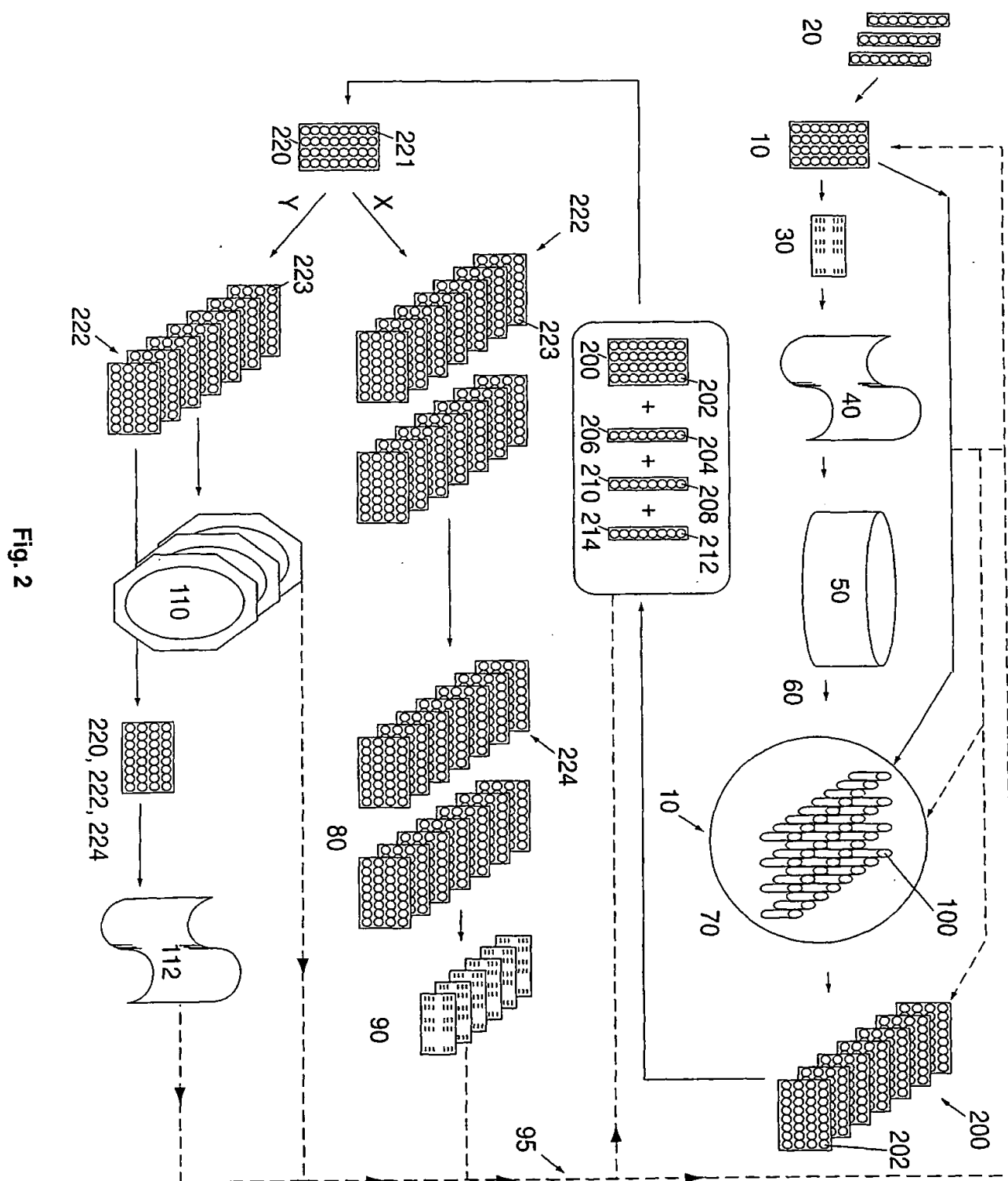


Fig. 1B



3/7

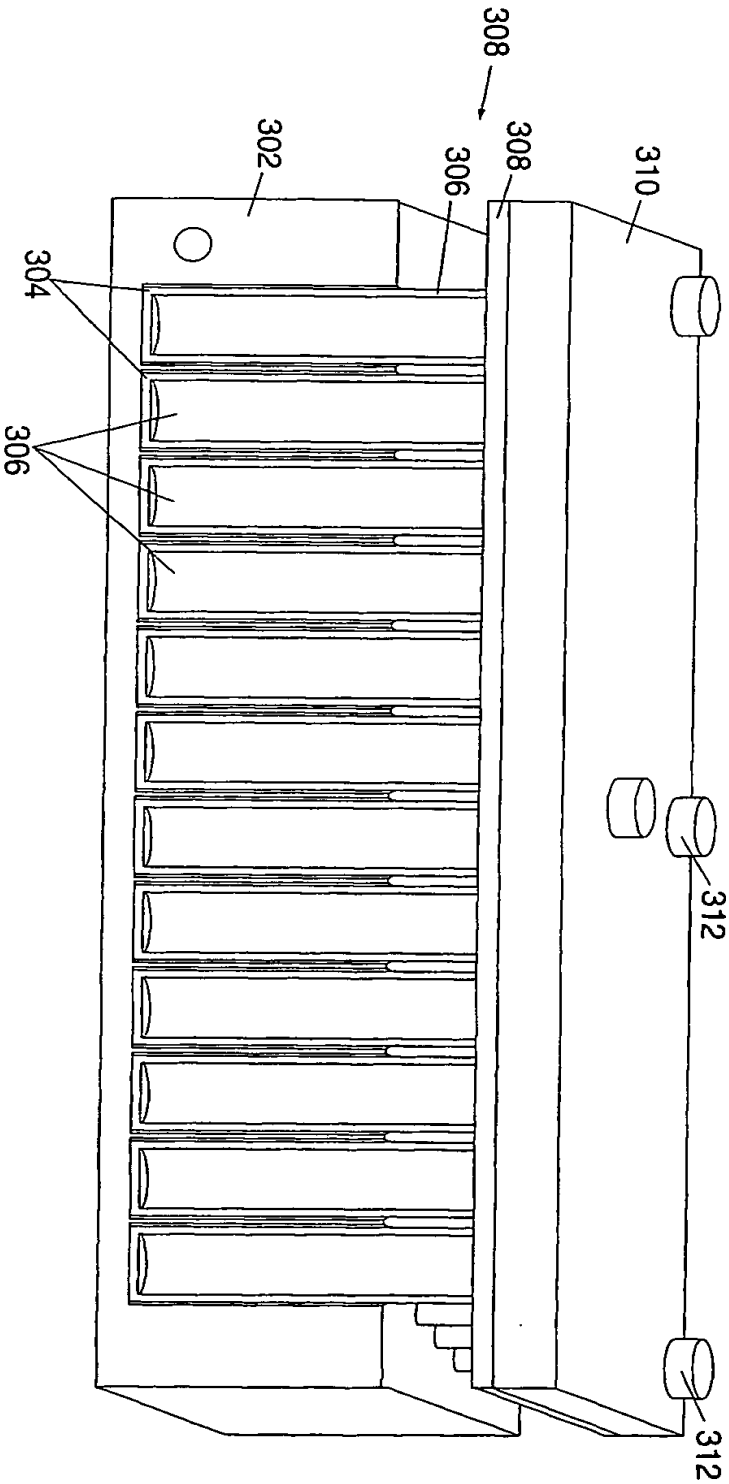


Fig. 3



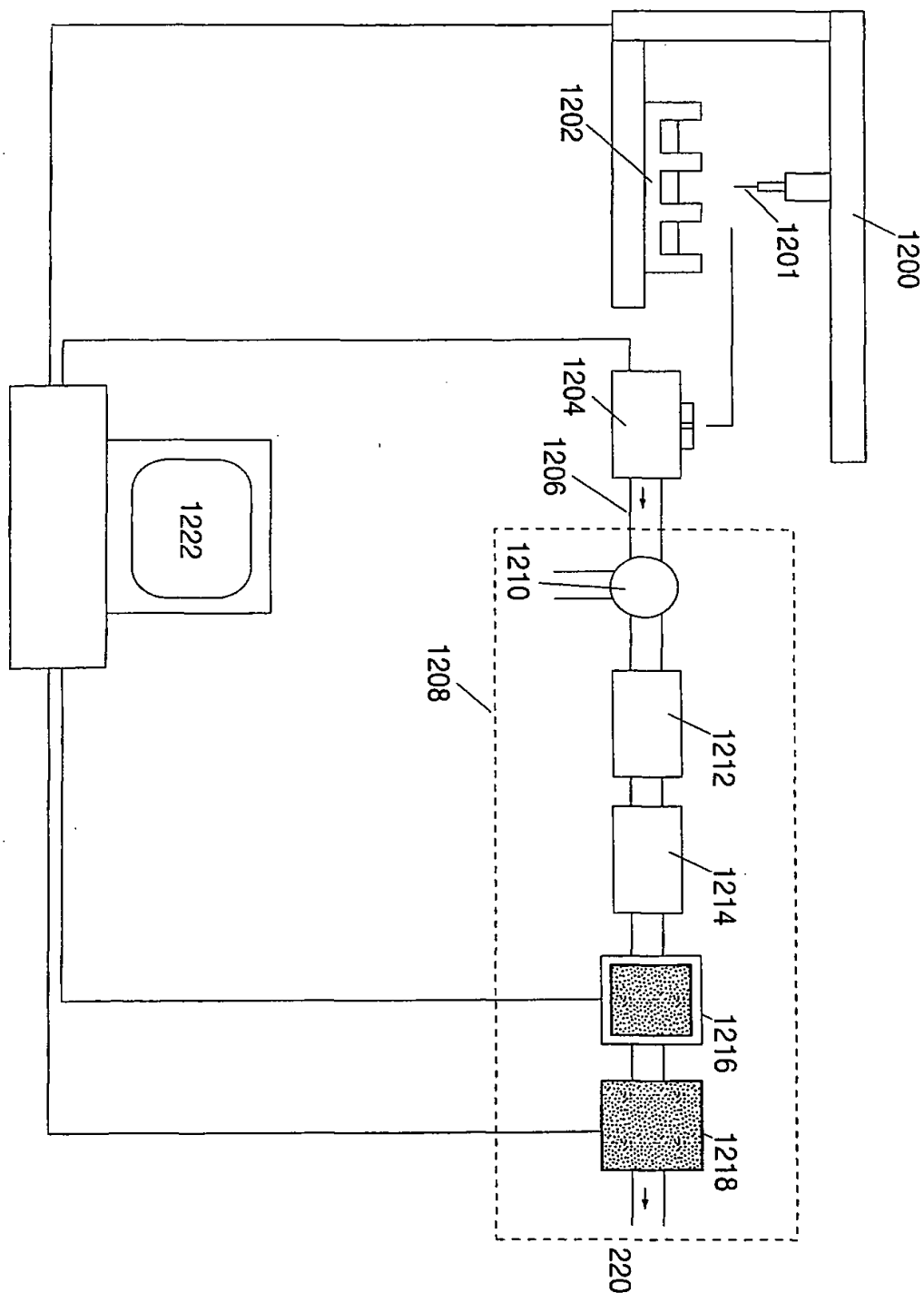


Fig. 4

5/7

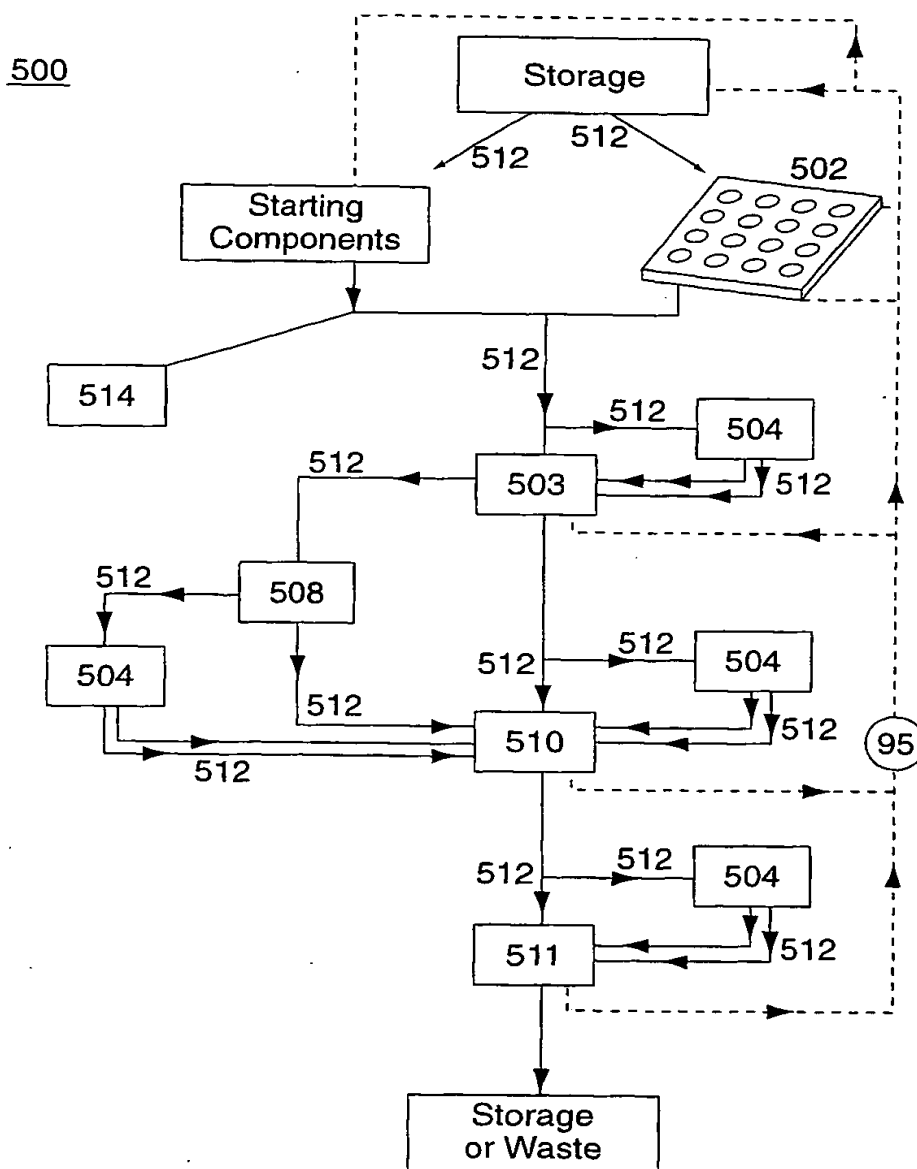


Fig. 5

6/7

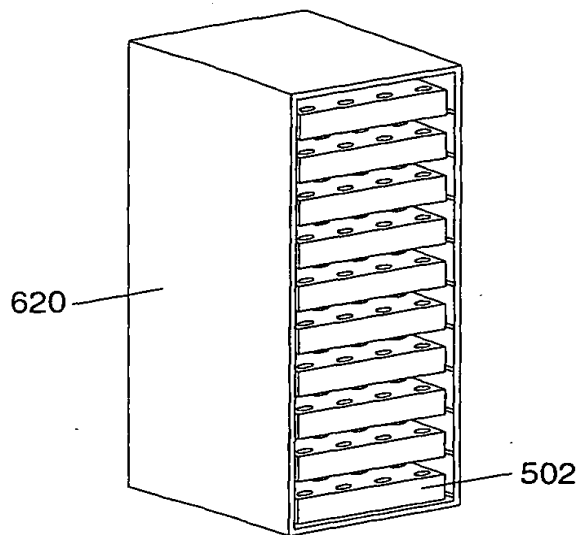


Fig. 6A

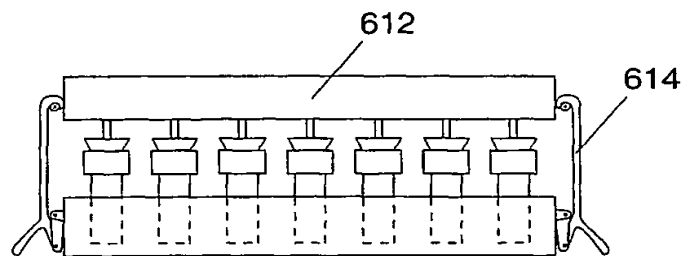


Fig. 6B

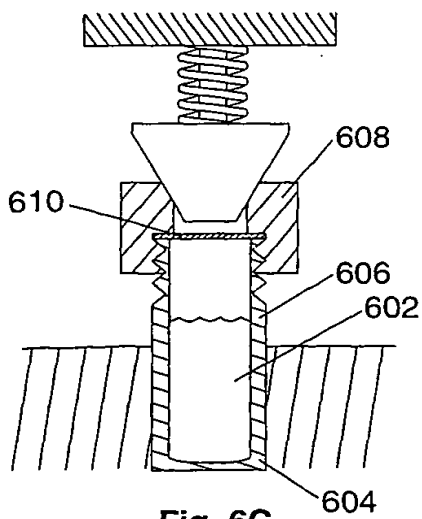
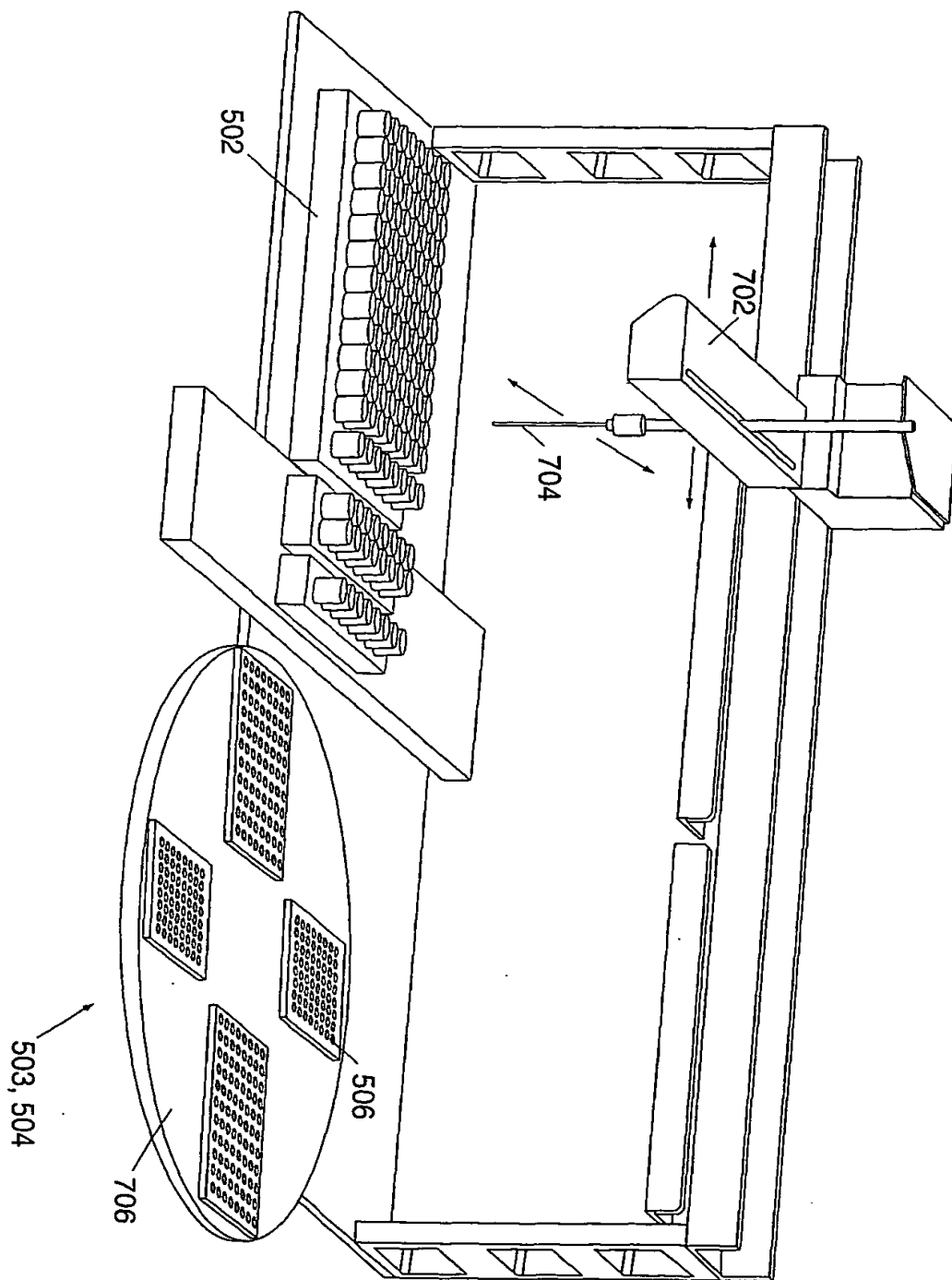


Fig. 6C

7/7

Fig. 7



## INTERNATIONAL SEARCH REPORT

Int 1st Application No

PCT/US 00/00418

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B01J19/00 C07B61/00 B01J31/16

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B01J C07B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 882 500 A (SUMITOMO CHEMICAL CO) 9 December 1998 (1998-12-09) abstract; figure 1 column 9, line 23 - line 56; claims 1-3 column 25, line 27 - line 42 ---	44-50
X	WO 98 40159 A (POKORNY VIT ;TREGA BIOSCIENCES INC (US); KRCHNAK VIKTOR (US); LEBL) 17 September 1998 (1998-09-17) cited in the application page 18, line 16 -page 24, line 11; figure 1 page 53, line 19 - line 34 example 1, see page 80, line 1 - line 6 page 68, line 13 -page 70, line 23 --- -/--	44-50

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the International filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the International filing date but later than the priority date claimed

- "T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the International search

22 May 2000

Date of mailing of the International search report

30.05.00

Name and mailing address of the ISA

European Patent Office, P.B. 5618 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Veefkind, V

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/00418

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	US 5 985 214 A (SHUMATE CHRISTOPHER BENTLEY ET AL) 16 November 1999 (1999-11-16) column 7, line 15 - line 38; figures 1,2 column 29, line 23 - line 48 column 32, line 59 - column 33, line 56; figure 11	44, 46-50
X	& WO 98 52047 A (AURORA BIOSCIENCES) 19 November 1998 (1998-11-19)	44, 46-50
A	WO 98 03521 A (BEEK JOHANNES A M VAN ;TURNER HOWARD (US); BOUSSIE THOMAS (US); GO) 29 January 1998 (1998-01-29) cited in the application page 12, line 11 - line 21 page 22, line 16 - line 28 page 44, line 26 - page 45, line 11; table 1	44
A	DEWITT S H ET AL: "COMBINATORIAL ORGANIC SYNTHESIS USING PARKE-DAVIS'S DIVERSOMER METHOD" ACCOUNTS OF CHEMICAL RESEARCH, US, AMERICAN CHEMICAL SOCIETY. WASHINGTON, vol. 29, no. 3, 1 January 1996 (1996-01-01), pages 114-122, XP002065653 ISSN: 0001-4842 the whole document	44

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 00/00418

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 1-43, 51-57  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-43, 51-57

The wording of claims 1-43 and 51 is such that a lack of clarity within the meaning of PCT Article 6 arises to such an extent as to render a meaningful search of the claims impossible. It is impossible to compare the word 'scaffold', which the applicant has chosen to employ, with what is set out in the prior art. The current application does not provide information on how to recognise a scaffold in prior art ligand libraries and on the basis thereof decide whether scaffolds are 'common' or 'different' in a prior art document. Additionally, the ligands which constitute the 'ligand libraries' and the term 'structural diversity' are not defined.

Moreover, upon attempting to define above mentioned terms as broad as possible within the light of the description, the initial phase of the search revealed a very large number of documents relevant to the issue of novelty. So many documents were retrieved that it was impossible to determine which parts of the claim(s) may be said to define subject-matter for which protection might legitimately be sought (Article 6 PCT). For these reasons, a meaningful search over the whole breadth of the claim(s) would also be impossible.

Similarly, present claims 52-57 relate to an extremely large number of possible methods. In fact, the claim contains so many options and variables that a lack of clarity and conciseness within the meaning of PCT Article 6 arises to such an extent as to also render a meaningful search of these claims impossible.

Consequently, the search has been restricted to claims 44-50.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.



## INTERNATIONAL SEARCH REPORT

Information on patent family members

Intel. Application No

PCT/US 00/00418

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0882500	A	09-12-1998	JP 10132828 A	22-05-1998
			JP 11033392 A	09-02-1999
			JP 11051746 A	26-02-1999
			WO 9818549 A	07-05-1998
WO 9840159	A	17-09-1998	US 6045755 A	04-04-2000
			AU 6695098 A	29-09-1998
US 5985214	A	16-11-1999	AU 7478398 A	08-12-1998
			WO 9852047 A	19-11-1998
WO 9803521	A	29-01-1998	AU 3741897 A	10-02-1998
			EP 0923590 A	23-06-1999
			EP 0985678 A	15-03-2000
			EP 0983983 A	08-03-2000
			EP 0978499 A	09-02-2000
			JP 11514012 T	30-11-1999
			AU 4673497 A	05-05-1998
			AU 4749397 A	05-05-1998
			AU 4812097 A	05-05-1998
			AU 4902497 A	05-05-1998
			EP 0934515 A	11-08-1999
			WO 9815969 A	16-04-1998
			WO 9815813 A	16-04-1998
			WO 9815501 A	16-04-1998
			WO 9815805 A	16-04-1998
			US 5959297 A	28-09-1999

